

# Comply to Thrive

Event Hosted By:



Agenda Sponsored By:



## DAY I – Tuesday

8:00 AM – 5:30 PM	<p><b>REGISTRATION</b></p> <p><i>Sponsored by:</i></p> 
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9:00 AM – 11:30 AM	<p><b>SOVEREIGN NATION PRE-CONFERENCE WORKSHOP</b></p> <p><i>Sponsored by:</i></p> 
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TIME	TOPIC	SPEAKERS
9:00 AM – 9:20 AM	E-Commerce for Indian Country: The Economic and Social Impacts of Tribal Lending	Terry Brockie, <i>Island Mountain Development Group</i> Larry Chiavaro, <i>First Associates</i> Kevin Cline, <i>Guidiville Indian Rancheria</i> Justin Fisk, <i>NAFSA</i> Jennifer Galloway, <i>Bradley</i> Kurt Lewis, <i>AWL, Inc</i> Jessi Lorenzo, <i>LDF Holdings</i> Sunshine Nicholson, <i>Fort Belknap Indian Community</i> Greg Porter, <i>Catalyst</i> Jennifer Weddle, <i>Greenberg Traurig</i>
9:20 AM – 9:40 AM	Overview of Current Legal Issues in Tribal Lending	
9:40 AM – 10:00 AM	The Current Regulatory Landscape for Indian Country	
10:00 AM – 10:20 AM	Keys for Successful Tribal Lenders: Best Practices for Operations and Working with Your Service Providers	
10:20 AM – 10:40 AM	Trends and Challenges in Agency Actions and Investigations: What Can We Learn?	
10:40 AM – 11:00 AM	Working with State Governments	
11:00 AM – 11:30 AM	The Future of Tribal Lending: Where Do We Go from Here?	

11:30 AM – 5:30 PM	<p><b>OLA COMPLIANCE UNIVERSITY GENERAL PROGRAMMING</b></p> <p>Welcoming Remarks</p>	Jonathan L. Pompan, <i>Venable, LLP</i>
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12:00 PM – 1:00 PM	<p><b>COMPLIANCE UNIVERSITY LUNCH</b> <i>Sponsored by:</i> </p> <p>Update on CFPB Small Dollar Rule and Payment Provisions</p>	Jennifer Galloway, <i>Bradley</i> Brian Epling, <i>Bradley</i>
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1:00 PM – 1:30 PM	Supervision and Enforcement Update from the FTC	Nikhil Singhvi, Assistant Director, Division of Financial Practices <i>Federal Trade Commission</i>
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1:30 PM – 2:00 PM	Preparing for Upcoming Changes to NACHA's Payments Rules	Jordan Bennett, AAP, Senior Director, Network Risk Management <i>NACHA</i>
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**DAY I continued**

2:15 PM – 3:00 PM	<b>COMPLIANCE UNIVERSITY FORUM SESSIONS</b>	
2:15 PM – 3:00 PM	<b>ADVANCED:</b> Preparing Your Business for the California Consumer Privacy Act (CCPA) and General Data Protection Regulation (GDPR) <i>Location: Civiletti Conference Center</i>	Erin Illman, <i>Bradley</i>
2:15 PM – 3:00 PM	<b>FUNDAMENTALS:</b> Advertising and Marketing Compliance: Rules of the Road for Lead Generators <i>Location: Sierra Nevada Room</i>	Dustin Alonzo, <i>McGlinchey Stafford</i> Jared Conaway, <i>LeadFlash</i>
2:15 PM – 3:00 PM	<b>GENERAL:</b> A Roadmap for Responsible Underwriting: A Compliance Officers' Guide to Utilizing Artificial Intelligence and Machine Learning <i>Location: Grand Canyon Room</i>	Tom Brandenburger, <i>ValidiFi</i>
3:15 PM – 4:00 PM	<b>COMPLIANCE UNIVERSITY FORUM SESSIONS</b>	
3:15 PM – 4:00 PM	<b>ADVANCED:</b> Know Your Compliance Responsibilities Before Entering into a Bank Partnership Agreement <i>Location: Grand Canyon Room</i>	Mark Dabertin, <i>Pepper Hamilton</i> John Sabath, <i>Axcess Financial</i>
3:15 PM – 4:00 PM	<b>FUNDAMENTALS:</b> Creating a Culture of Compliance: The Roles and Responsibilities of a Compliance Officer <i>Location: Sierra Nevada Room</i>	Curtis Linscott, <i>Hudson Cook</i> Kurt Lewis, <i>AWL, Inc</i> Donald Ung, <i>LeadMarket.com</i>
3:15 PM – 4:00 PM	<b>GENERAL:</b> Compliant Third Party Oversight: Keys to Successful Vendor Management <i>Location: Civiletti Conference Center</i>	Jonathan L. Pompan, <i>Venable, LLP</i> Blake Sims, <i>Hudson Cook</i>
4:00 PM – 4:30 PM	<b>AFTERNOON COFFEE BREAK</b>	
4:45 PM – 5:30 PM	<b>COMPLIANCE UNIVERSITY GENERAL SESSION</b>	
4:45 PM – 5:30 PM	Comply to Thrive Role Play	Donald Ung, <i>LeadsMarket.com</i>
5:30 PM – 6:30 PM	<b>ROOFTOP RECEPTION</b> <i>Sponsored by:</i> 	

**DAY 2 – Wednesday**

8:00 AM – 12:30 PM	<b>REGISTRATION</b> Sponsored by: 	
8:00 AM – 8:45 AM	<b>BREAKFAST</b>	
<b>TIME</b>	<b>TOPIC</b>	<b>SPEAKERS</b>
8:45 AM – 9:30 AM	<b>COMPLIANCE UNIVERSITY GENERAL SESSION</b>	
8:45 AM – 9:05 AM	Making the World Safe for Online Lending: OLA Policing Efforts and Impacts for Your Business	Gayla Huber, <i>Integrishield</i> Felicia Hurley, <i>Online Lenders Alliance</i>
9:05 AM – 9:30 AM	Working with Your State Attorney General's Office	Mike Hunter, <i>Oklahoma Attorney General</i>
9:45 AM – 10:15 AM	<b>COMPLIANCE UNIVERSITY FORUM SESSIONS</b>	
9:45 AM – 10:15 AM	<b>ADVANCED:</b> Ease Your Pain at the State Level: Recommendations for Navigating the Licensing Issues in the States <i>Location: Grand Canyon Room</i>	Hurshell Brown, <i>Hudson Cook</i> Tim Lange, <i>Buckley Sandler</i> Erika Sonstroem, <i>Bradley</i>
9:45 AM – 10:15 AM	<b>FUNDAMENTALS:</b> Compliant Payment Processing Guidelines: Best Practices for Receiving Payments <i>Location: Sierra Nevada Room</i>	Mike Biegalski, <i>Flex Payment Solutions</i> Marsha Jones, <i>Third Party Payment Processors Association (TPPPA)</i>
9:45 AM – 10:15 AM	<b>GENERAL:</b> Know Your Customer: ID Verification and TCPA Compliance Techniques <i>Location: Civiletti Conference Center</i>	Tom Algie, <i>IDology</i> Randy Bourgeois, <i>ActiveProspect</i> David Smith, <i>Bradley</i>

## DAY 2 continued

10:30 AM – 11:15 AM	<b>COMPLIANCE UNIVERSITY FORUM SESSIONS</b>	
10:30 AM – 11:15 AM	<b>ADVANCED:</b> Engaging with Regulators: How to Approach Agency Supervision and Investigations <i>Location: Grand Canyon Room</i>	Allyson Baker, <i>Venable, LLP</i> Allen Denson, <i>Hudson Cook</i>
10:30 AM – 11:15 AM	<b>FUNDAMENTALS:</b> Dealing with Defaults: Compliance Officers Guide to Debt Collection Strategies and the CFPB Debt Collection Rule <i>Location: Sierra Nevada Room</i>	Kelly Knepper-Stephens, <i>TrueAccord</i> Anne Thomas, <i>Cavalry Portfolio Services</i>
10:30 AM – 11:15 AM	<b>GENERAL:</b> Navigating Consumer Privacy and Strategies for Safeguarding Data <i>Location: Civiletti Conference Center</i>	Blake Sims, <i>Hudson Cook</i> Emma Blaser, <i>Venable, LLP</i>
11:15 AM – 11:45 AM	<b>MORNING COFFEE BREAK</b>	
11:45 AM – 12:15 PM	<b>COMPLIANCE UNIVERSITY GENERAL SESSION</b>	
11:45 AM – 12:15 PM	Compliance University Recap: Where Do We Go From Here?	Allen Denson, <i>Hudson Cook</i> Jennifer Galloway, <i>Bradley</i> Mary Jackson, <i>Online Lenders Alliance</i> Jonathan L. Pompan, <i>Venable, LLP</i>
12:15 PM	Closing Remarks	