

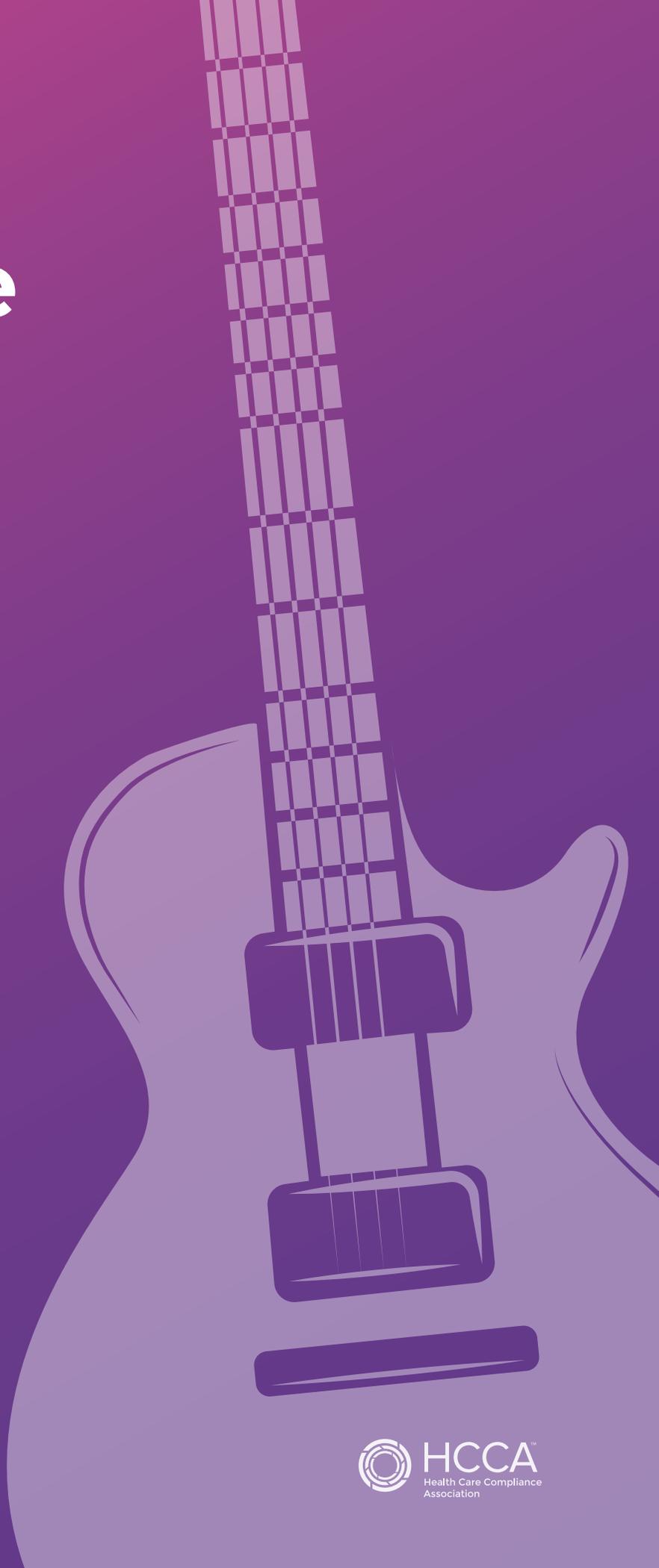
*24th Annual*  
**Compliance  
Institute**

March 29—April 1, 2020  
Gaylord Opryland  
Nashville, TN

Gain insights into emerging  
healthcare compliance risks  
and develop strategies to  
address them.

**Register now**

Learn more and register  
[hcca-info.org/2020CI](https://hcca-info.org/2020CI)



# About the Institute

Working in compliance means you are connected to all areas of your organization. Don't let the work overwhelm you. The Compliance Institute offers the latest updates and trends on all areas of healthcare compliance. Let us help you solve problems, connect with industry peers, turn into an expert by obtaining CEUs and taking certification exams, and leave prepared to improve your program.

## Follow a learning track

Educational sessions are arranged by area of interest. Follow one track all the way through or switch between several depending on your needs and interests.

### Advanced Compliance

Have you been in the compliance profession for more than 10 years? Dive deep into compliance topics in these progressive sessions geared toward seasoned professionals.

### Auditing & Monitoring

Auditing and monitoring is key to measuring effectiveness and improvement. Gain the knowledge and tools that you need to read the vital signs of your compliance program.

### Behavioral Health

How do you balance behavior health compliance with quality patient care? Hear recommendations for identifying and mitigating compliance and privacy issues in a behavioral health environment.

### Case Studies

Get an inside look at real-life compliance problems and what organizations have done to find effective solutions—or how they failed to mitigate risk.

### Compliance Law

Learn the legal basis for the healthcare compliance issues you manage, such as False Claim Act developments, fraud and abuse, and Stark Law violations. Sessions are presented by experienced and knowledgeable lawyers from both inside and outside the government.

### Discussion Groups\*

If you're looking for a more interactive program, this track is for you. Each session is designed to involve everyone in the room. Join roundtable discussions on popular healthcare compliance issues facilitated by industry experts.

### General Compliance/ Hot Topics

Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

### How to Succeed as a Compliance Professional

Our leadership abilities can have a drastic impact on your organization's compliance program. Develop your skills, increase your value, and improve your effectiveness.

### Internal Audit

Designed to increase awareness of audit opportunities in the healthcare compliance arena and provide tools and techniques to jump-start your auditing and monitoring efforts.

### Physician Compliance

Receive vital healthcare compliance information specifically related to small and large physician practices, academic medical centers, medical research facilities, hospitals, and health systems.

### Post-Acute Care

Learn about best practices, enforcement trends, and other compliance issues pertaining to hospices, home healthcare providers, and skilled nursing care facilities.

### Privacy & Security

Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

### Risk Management

Managing risk has become a top priority among healthcare organizations, as well as the government agencies that regulate them. Learn strategies and practical solutions to manage and mitigate organizational risk.

## NEW IN 2020

### Investigations

No matter what role you play in compliance, odds are you will be involved with internal investigations at some point in your career. Improve your interviewing skills and pack your toolkit with valuable resources for each stage of the process, from initial inquiries to presenting the final report.

### Telehealth

Telehealth is changing the accessibility and availability of patient care. Gain tips and strategies for planning, implementing, and monitoring a telehealth program, while keeping legal and ethical considerations in mind.

### Rooster Sessions

Rise with the sun and shine a spotlight on compliance issues with our new early session slots. Explore a range of healthcare compliance topics with your fellow early birds and get the worms on the Tuesday and Wednesday mornings of the Institute.

**CHC**<sup>™</sup>

**CHPC**<sup>™</sup>

**CHRC**<sup>™</sup>

## Do you qualify? Become certified!

Certification can enhance your career and give you credibility with your organization and peers. Immediately following the Compliance Institute, we offer optional Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, and Certified in Healthcare Research Compliance (CHRC)<sup>®</sup> exams. A separate application and fee submitted directly to the Compliance Certification Board (CCB)<sup>®</sup> is required.

Please note: Although the Institute can provide the CEUs you need to qualify for the exam, it is not a preparation course for the exam itself.

# Schedule at a glance

| Pre-Conference  | Conference   |  | Post-Conference   |
|---|--|--|---|
| <b>SATURDAY, MARCH 28</b><br><br>12:00 – 6:00 PM<br>Conference Registration<br><hr/> 1:00 – 3:00 PM<br>Volunteer Project<br><hr/> <b>SUNDAY, MARCH 29</b><br><br>7:00 AM – 6:30 PM<br>Conference Registration<br><hr/> 7:30 – 8:15 AM<br>First-timer Networking<br>Breakfast <i>(by invitation)</i><br><hr/> 8:30 AM – 12:00 PM<br><b>Breakout Sessions</b><br><hr/> 12:00 – 1:30 PM<br>Lunch <i>(on your own)</i><br><hr/> 1:30 – 5:00 PM<br><b>Breakout Sessions</b><br><hr/> 5:00 – 6:30 PM<br>Opening Reception | <b>MONDAY, MARCH 30</b><br><br>6:45 AM – 7:15 PM<br>Conference Registration<br><hr/> 7:00 – 8:20 AM<br>Breakfast with Exhibitors<br><hr/> 8:20 – 8:30 AM<br>Opening Remarks<br><hr/> 8:30 – 9:30 AM<br><b>General Sessions</b><br><hr/> 10:00 – 12:30 PM<br><b>Breakout Sessions</b><br><hr/> 12:30 – 1:45 PM<br>Lunch<br><hr/> 1:45 – 4:15 PM<br><b>Breakout Sessions</b><br><hr/> 4:35 – 4:45 PM<br>Awards Presentation<br>and Closing Remarks<br><hr/> 4:45 – 5:45 PM<br><b>General Session</b><br><hr/> 5:45 – 7:15 PM<br>Networking Reception | <b>TUESDAY, MARCH 31</b><br><br>6:45 AM – 4:30 PM<br>Conference Registration<br><hr/> 7:00 – 8:00 AM<br><b>Rooster Sessions</b> <br><hr/> 7:00 – 8:20 AM<br>Breakfast with Exhibitors<br><hr/> 8:20 – 8:30 AM<br>Awards Presentation<br>and Opening Remarks<br><hr/> 8:30 – 9:30 AM<br><b>General Session</b><br><hr/> 10:00 AM – 12:30 PM<br><b>Breakout Sessions</b><br><hr/> 12:30 – 2:00 PM<br>Lunch<br><hr/> 2:00 – 3:00 PM<br><b>Breakout Sessions</b><br><hr/> 3:20 – 3:30 PM<br>Closing Remarks<br><hr/> 3:30 – 4:30 PM<br><b>General Session</b> | <b>WEDNESDAY, APRIL 1</b><br><br>7:00 AM – 12:15 PM<br>Conference Registration<br><hr/> 7:15 – 8:15 AM<br><b>Rooster Sessions</b> <br><hr/> 8:30 AM – 12:00 PM<br><b>Breakout Sessions</b><br><hr/> 1:00 PM<br>Check-in for<br>Certification Exams<br><hr/> 1:15 – 4:45 PM<br>CHC, CHPC, and<br>CHRC Exams |

## Saturday, March 28

12:00 – 6:00 PM

Conference Registration

1:00 – 3:00 PM

Volunteer Project

(pre-registration-required)

## Sunday, March 29

7:00 AM – 6:30 PM

Conference Registration

7:30 – 8:15 AM

First-timer Networking  
Breakfast (by invitation)

8:30 – 10:00 AM

Pre-Conference  
Breakout Sessions

### PRIVACY & SECURITY

#### P1 Be a HIPAA ACE: Awareness, Collaborate, and Educate

Sunday, 8:30–10:00 AM

JOSEPH A. PICCOLO, VP Corporate Compliance, Inspira Health

DOLORES (DEE) BAUGHMAN, Compliance Privacy Manager, Inspira Health Network

- Awareness begins at the top. Engage your senior leadership through accountability, audits and your annual workplan
- Collaborate with IT security, risk management, human resources, and legal to develop a strong focused infrastructure to support your efforts
- Educate using varied platforms to meet the needs of your workforce

### PHYSICIAN COMPLIANCE

#### P2 Case Law: Are There Compliance and Liability Concerns for Physicians and Organizations Employing Physician Assistants and Nurse Practitioners?

Sunday, 8:30–10:00 AM

KEVIN HICKMAN, Physician Assistant, Geisinger Medical Center

- Discuss how the Balanced Budget Act of 1997 and amendment to the Social Security Act changed the federal status in the Medicare program of physician assistants and nurse practitioners creating potential compliance and liability risks for employers
- Identify case law demonstrating compliance and malpractice risks for physicians and organizations that employ physician assistants and nurse practitioners
- Describe organizational strategies to reduce compliance and liability risks such as anti-kickback and False Claims Act violations, for physicians and employers of physician assistants and nurse practitioners

### COMPLIANCE LAW

#### P3 False Claims Act Part 1

Sunday, 8:30–10:00 AM

JOHN T. BOESE, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP

MICHAEL MORSE, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP

GARY W. EILAND, Retired Partner, King & Spalding

- Understand the fundamentals of liability, damages and procedure under the FCA
- Review critical recent court interpretations of the Supreme Court's Escobar decision
- Discuss recent decisions on determining "falsity" in medical necessity cases

### HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

#### P4 Create the Village: The Compliance/Revenue Cycle/Quality Partnership

Sunday, 8:30–10:00 AM

BARBARA J. PIASCIK, VP/Chief Compliance Officer, New Bridge Medical Center

KERRI MCCUTCHIN, Compliance Manager, Cooper University Healthcare

- Demonstrate how successful engagement of revenue cycle and quality in the compliance journey can maximize the effectiveness of all three areas
- Identify real-world examples of risk based data analytics impacting all three areas
- Improve understanding of regulatory and data requirements for each area

### AUDITING & MONITORING

#### P5 Compliance and Enterprise Risk Management: Next Steps?

Sunday, 8:30–10:00 AM

FRANCINE WOJTON-GRISANTI, Senior Compliance Officer, University Hospitals

- UH compliance conducts high risk probe reviews to assess compliance with a law, regulation or best practice utilizing an interdisciplinary process. An effective probe should be part of enterprise risk management (ERM) and compliance committees
- The ERM tool measures impact, severity with a mitigation risk score of the probe risk. The planning phase, steps to conduct the review, and mitigation plan development with an ERM tool will be illustrated
- Partners are essential to probe success. Multidisciplinary corrective or proactive internal controls result continued self-monitoring. A focus will be on hot topics under high scrutiny of the OIG

POST-ACUTE CARE

**P6 Negotiating Smart and Compliant Relationships under Medicare’s New SNF Inpatient Payment System: PDPM**

*Sunday, 8:30–10:00 AM*

DONNA K. THIEL, Shareholder, Baker Donelson

BILL ULRICH, President, Consolidated Billing Services Inc

- PDPM brings additional focus on SNF’s relationships with discharging providers. We will address best practices for establishing medical necessity, documenting diagnoses, and crafting plans of care
- Consolidated billing survives under PDPM. We will address legal and business considerations in contracts with vendors, providers, and practitioners, critical to protect the bottom line and ensure compliance
- Identifying which services that are bundled to the SNF. Learn to determine when and why, and how much to pay those outside providers including hospitals, physicians, ASCs

ADVANCED COMPLIANCE

**P7 The Core Elements of EMTALA for the Compliance Professional**

*Sunday, 8:30–10:00 AM*

FRANK RUELAS, Facility Compliance Professional, St. Joseph’s Hospital and Medical Center - Dignity Health

- Break down the EMTALA regulations into three procedural areas to obtain a practical understanding of the EMTALA regulations. Review some of the unofficial rules that organizations follow when a possible violation occurs
- Review several of the sections within the regulation and learn what are some effective ways to avoid some of the commonly-encountered errors that are identified by review organizations when reviewing possible non-compliance
- Obtain a copy of a reference guide to the EMTALA regulations that makes review and research of the regulation more efficient. This is particularly useful when identifying responses to questions about the regulation from those within the organization

RISK MANAGEMENT

**P8 Enhanced Risk Assessment: Advancing the Relationship between Compliance and Enterprise Risk Management**

*Sunday, 8:30–10:00 AM*

TANVI GUPTA, Director of Enterprise Risk Management, Children’s Hospital of Chicago Medical Center

KIMBERLY ZAJCZENKO, Senior Director of Audit and Enterprise Risk Management, Children’s Hospital of Chicago Medical Center

TRACY MCCULLOUGH, Director, Compliance Education Services, Children’s Hospital of Chicago Medical Center

- Risk Assessment is the unofficial eighth element added to the seven elements identified two decades ago, and enforcement agencies have articulated their expectations for periodic risk assessments
- Speakers will describe and demonstrate the adaptation of the 2017 COSO Enterprise Risk Management (ERM) framework to enable the build of an in-house tool that facilitates best practice oversight of enterprise-wide risk treatment and controls
- Speakers will summarize how ERM supports ongoing compliance risk management efforts by fostering the interrelationship of compliance and ERM

INVESTIGATIONS

**P9 Internal Investigations: What’s in Your Organization’s Toolkit?**

*Sunday, 8:30–10:00 AM*

JACKI WALTMAN, Manager Health Information/Corporate Privacy Officer, Hazelden Betty Ford Foundation

JACKIE STEMWEDEL, Director, Standards & Compliance, Hazelden Betty Ford Foundation

MELISSA EDSON, Standards & Compliance Specialist, Hazelden Betty Ford Foundation

- Discussion around developing and triaging the internal ethics hotline and direct calls to the to the HR, legal, privacy, and compliance teams
- Creating value in reporting data to leadership
- Developing and training your internal investigation team toolkit

GENERAL COMPLIANCE/HOT TOPICS

**P10 New to Compliance? Learn the Essential Components to Address in Your Program**

*Sunday, 8:30–10:00 AM*

AMANDA COPSEY, ACRB Senior Counsel, Office of the Inspector General, US Department of Health and Human Services

ALLY MOLLETTI, Sr. Compliance Officer, Summa Health System

ANDREA C. MERRITT, Partner, Athena Compliance Partners

- Discuss the elements of a compliance program and learn how to implement each based on regulations and best practices
- Review real-world examples of various items such as policies, risk assessments, and audit plans and how to develop and implement each one.
- Discuss methods to grow your program over time using effective best practices

GENERAL COMPLIANCE/HOT TOPICS

**P11 Measuring the Effectiveness of a Compliance Program Using the DOJ Guidance**

*Sunday, 8:30–10:00 AM*

JOSEPH F. ZIELINSKI, Senior Counsel, Wooden McLaughlin LLP

- Discussion and analysis of the three “fundamental questions” that according to the DOJ guidance should be asked to determine the effectiveness of a compliance program
- Attendees will see how the DOJ’s guidance specifically calls out various elements associated with a compliance program and what questions are asked to further assess how well these elements are contributing to the effectiveness of a compliance program
- Attendees will have the opportunity to see and receive a tool that they can use to apply a data driven approach to assess how effectively their compliance programs align with the DOJ’s guidance on the effectiveness of a compliance program

**10:00 – 10:30 AM  
Break**

10:30 AM – 12:00 PM

## Pre-Conference Breakout Sessions

### PRIVACY & SECURITY

#### P12 A Day in the Life of a Healthcare CISO: Tackling Health IT's Most Common Challenges with a Proven Risk Management Strategy

*Sunday, 10:30 AM–12:00 PM*

JASON TAHANEY, Director of Information Technology, Hunterdon Healthcare System

GERRY BLASS, President & CEO, ComplyAssistant

- Analyze the current landscape of healthcare cybersecurity, and identify the main components of a comprehensive risk management strategy to protect your organization from common security and compliance inconsistencies
- Identify the most threatening roadblocks in healthcare IT, including limited human and financial resources, rising costs and data silos, and apply proven risk management solutions to tackle these ever-evolving challenges
- Apply real-life strategies, including governance, oversight, data analysis, and field observation to identify and respond to risk, maintain transparency, set budgets, and effectively track risk registries, assessments, and the mitigation process

### PHYSICIAN COMPLIANCE

#### P13 Changing Horses Mid-Stream: Legal and Practical Challenges in Switching between EHRs

*Sunday, 10:30 AM–12:00 PM*

DANIEL SHAY, Associate, Alice G. Gosfield & Associates, P.C.

- Explores the reasons why healthcare providers decide to switch from one EHR to another, and factors that may drive such transitions in the future
- Examines the legal and practical hurdles and headaches that healthcare providers face when switching between EHRs, including with respect to HIPAA concerns and provider data control and ownership issues
- Provides examples of actual EHR license agreement language and analyzes how different terms impact EHR transitions, and offers real-world examples of how providers navigate such transitions in practical terms

### COMPLIANCE LAW

#### P14 False Claims Act Part 2

*Sunday, 10:30 AM–12:00 PM*

JOHN T. BOESE, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP

MICHAEL MORSE, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP

GARY W. EILAND, Retired Partner, King & Spalding

- Understand the fundamentals of liability, damages and procedure under the FCA
- Review critical recent court interpretations of the Supreme Court's Escobar decision
- Discuss recent decisions on determining "falsity" in medical necessity cases

### HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

#### P15 Focus on Compliance Officer Skills: Navigating Enforcement Actions, Investigations, and Settlements

*Sunday, 10:30 AM–12:00 PM*

ANGELA M. ALTON, Vice President, Privacy Officer, Ann & Robert Lurie Children's Hospital of Chicago

ANA-CRISTINA NAVARRO, Chief Compliance Officer, Shasta Community Health Center

ANNE DALY, Chief Compliance and Integrity Officer, Children's Hospital of Chicago Medical Center

- Through case studies, speakers will review and seek attendee points of view on how adverse events, ranging from system failures to Privacy, HIPAA, or GDPR concerns, can lead organizations down the path of government surveys to formal enforcement actions
- Speakers will illustrate how a privacy/compliance/integrity team can assist and facilitate action planning and implementation to overhaul pertinent areas through policy development or revision, training, and careful support of needed culture change.
- Speakers will describe, and solicit input from attendees about, lessons learned and tools and methods for supporting organization wide efforts to navigate response to enforcement actions and build preventive activities

### AUDITING & MONITORING

#### P16 Addressing the Operational Challenges of Auditing Investigator Initiated Trials (IITs)

*Sunday, 10:30 AM–12:00 PM*

GABRIELLA M. NEFF, Research Compliance Officer, Moffitt Cancer Center

WENDY S. PORTIER, Independent Consultant, Kelly Willenberg and Associates

- Identify the unique regulatory and operational challenges of IITs as well as associated risks
- Learn how to gain leadership and investigator buy-in for IIT audits
- Discuss strategies to address operational challenges of auditing IITs and share lessons learned from conducting IIT audits at a designated cancer center

### POST-ACUTE CARE

#### P17 Discussion of Abuse, Neglect, Mistreatment Reporting, and Case Management

*Sunday, 10:30 AM–12:00 PM*

JANINE M. VALDEZ, Vice President of Compliance, Genesis Health Care

PAULA SANDERS, Principal and Healthcare Co-Chair, Post & Schell, P.C.

- Review SNF/NF reporting requirements
- Review of recent CMS, OIG and Congress activities addressing abuse and neglect in long-term care
- Discuss challenges with compliance and how to address

### ADVANCED COMPLIANCE

#### P18 Creating a Virtuous Cycle: Operationalizing the New Expectations for Compliance Programs

*Sunday, 10:30 AM–12:00 PM*

JANINE S. FADUL, Director of Compliance & Privacy, GW Medical Faculty Associates

SCOTT K. INTNER, Chief Compliance Officer, GW Medical Faculty Associates

- Getting the most bang for your buck: Moving to a risk-based compliance plan
- You can't manage what you don't measure: Auditing and monitoring for success
- Show me the money: Advocating for needed resources

RISK MANAGEMENT

**P19 What Now? Risk Mitigation for High-Risk Compliance Topics Identified per Performance of a Compliance Risk Assessment**

*Sunday, 10:30 AM–12:00 PM*

SHANELLE GREGORY, Director Compliance Audit Program, Community Health Systems

ELIZABETH A. SANDERS, Senior Director, Compliance, Community Health Systems

- Identifying and defining the components of a compliance risk mitigation process
- Creating and applying the tools for building a compliance risk mitigation process within multi-sized organizations
- Building risk mitigation activities to acceptable risk appetite level

INVESTIGATIONS

**P20 Practical Guidance for Conducting a Compliance Investigation**

*Sunday, 10:30 AM–12:00 PM*

DWIGHT CLAUSTRE, Retired Compliance Professional

JIM PASSEY, Vice President, Chief Audit & Compliance Officer, HonorHealth

- Provide tools to use when conducting an investigation, from beginning to end
- Provide a step by step approach for conducting even the most difficult investigation
- Identify the black holes for failing to develop the all-important investigation plan

GENERAL COMPLIANCE/HOT TOPICS

**P21 Compliance Program Development: What Are the Basics from Infrastructure to Risk Assessment?**

*Sunday, 10:30 AM–12:00 PM*

DEBBIE TROKLUS, Senior Managing Director, Ankura Consulting Group; SCCE & HCCA Board Member

SHERYL VACCA, SVP/Chief Risk Officer, Providence St Joseph Health; SCCE & HCCA Board Member

- Describe the fundamental elements
- Discuss the importance of structure and risk assessment
- Identify ways to leverage current resources

GENERAL COMPLIANCE/HOT TOPICS

**P22 Compliance Challenges for Advanced Practice Providers or APPs**

*Sunday, 10:30 AM–12:00 PM*

KIMBERLY G. HUEY, President, KGG Coding & Reimbursement Consulting

SANDRA K. GIANGRECO BROWN, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC

- Physician assistants, nurse practitioners and other practices and hospitals are employing more advanced practice providers to meet the growing healthcare needs, but they often do not understand the coding, billing, and supervision requirements
- Auditing considerations will be a focus, along with ideas for managing the risk areas and educating administrators, physicians, and other providers
- This session will cover the Medicare guidelines related to services provided in different settings, billing requirements and other considerations. We will provide examples of private payer policy for payment of APP services

**12:00–1:30 PM  
Lunch**

**1:30–3:00 PM**

**Pre-Conference Breakout Sessions**

PRIVACY & SECURITY

**P23 Working in Harmony for Enterprise-Wide System Protections**

*Sunday, 1:30–3:00 PM*

ADAM DROZ, Customer Success Manager, FairWarning

BRITANI TULLIER, Senior Director Information Security, Franciscan Missionaries of Our Lady Health System

ELIZABETH J. CHAMPION, VP, Chief Compliance Officer, FMOL Health System

- As the healthcare industry faces increasing challenges to secure patient data, Franciscan Missionaries of Our Lady Health System has found that collaboration across their departments has provided enterprise-wide protection for their systems
- In this session, FMOLHS shares their insights on how they worked diligently to build relationships across privacy, HR, legal, IS security, compliance, and medical staff to involve everyone to reduce their privacy and security risks
- The right technology only works when a culture of compliance collaborates with all departments. Learn how your organization can break down departmental silos to ensure the right tools are being used to improve patient privacy, security, and trust

PHYSICIAN COMPLIANCE

**P24 Who's Minding the Store? Understanding Supervision Requirements**

*Sunday, 1:30–3:00 PM*

MARYANN C. PALMETER, Director, Physician Billing Compliance, Univ of FL Jacksonville Physicians Inc

- Learn the levels of supervision for professional and outpatient facility billing for diagnostic and therapeutic services
- Grasp the difference between supervision of diagnostic tests and incident-to billing and the impact on advanced practice professionals
- See how ACGME, Medicaid, and state practice regulations may differ from Medicare

## COMPLIANCE LAW

### P25 The Role of Compliance in Government Enforcement: An Exploration of Recent Enforcement Activities, Evolution of Settlement Agreements, and Insights from a Corporate Monitorship

*Sunday, 1:30–3:00 PM*

TAMARA FORYS, Deputy Branch Chief, Office of Inspector General

DAVID OGDEN, Partner, Wilmer Cutler Pickering Hale and Dorr LLP

SHANNON N. SUMNER, Principal/Chief Compliance Officer, PYA

- Recent policy changes demonstrating the DOJ's increased focus on compliance and a more pragmatic and common sense approach to enforcement will be explored and discussed, recognizing the value of an effective compliance program
- From the perspective of a former US Deputy Attorney General and corporate monitor, recent DOJ policy activities will be explored including their impact on corporation credit in False Claims Act cases
- This session will explore the evolution of settlement agreements, such as Corporate Integrity Agreements and Deferred Prosecution Agreements and the DOJ's and OIG's expectations for compliance with the terms of these agreements

## HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

### P26 If They Can't Understand Them, They Won't Follow Them: The Art of Drafting Effective Compliance Program Policies

*Sunday, 1:30–3:00 PM*

TOMI K. HAGAN, Chief Compliance Officer, Great River Health System

GARY JONES, Attorney, Midwest Compliance Associates, LLC

- Well-drafted policies are the infrastructure of an effective compliance program
- If employees and volunteers can't understand compliance policies because they are too "legal," the likelihood of them following the policies is greatly reduced
- Well-drafted, easy to understand policies will enhance the overall effectiveness of the compliance program

## AUDITING & MONITORING

### P27 How Am I Doing? (ACOs and CINs): In the Shift to Value-Based Care, There Has Been a Proliferation of ACOs and CINs—Are These Organizations Being Audited and Monitored for Important Antitrust Compliance Concerns and Contracting Regulatory Issues?

*Sunday, 1:30–3:00 PM*

MARY C. MALONE, Attorney (Shareholder/Director), Hancock, Daniel, Johnson & Nagle, PC

JAMES DANIEL, Attorney, Hancock, Daniel & Johnson, PC

- This session will address the difference between accountable care organizations (ACOs) and clinically integrated networks (CINs)
- This session will address antitrust presumptions and approaches to monitor meaningful programs of clinical integration
- This session will address the various types of waiver programs and adherence to standards and special concerns related to value-based care models

## POST-ACUTE CARE

### P28 More Than Therapy: The Big Picture of Long-Term Care, Quality Outcomes, and Compliance Integrity for Contract Therapy Companies

*Sunday, 1:30–3:00 PM*

KRISTI O'DELL, Vice President of Compliance, Reliant Rehabilitation

AMY PHIPPS, Chief Clinical and Compliance Officer, Reliant Rehabilitation

- Payment reform and quality reporting programs emphasize CMS' goal to improve patient care collaboration for quality outcomes. This session will explore the role of a contract therapy company's compliance program in collaboration with facility partners
- Attendees will identify elements requiring synergy between the requirements of participation, conditions of payment, and effective execution of care and develop the steps to assess the effectiveness of the compliance program in relation to these elements
- Attendees will develop systems to ensure appropriate risk mitigation, timely notification, referral consistency, and transparent reporting structures. Mapped steps will aid in compliance integrity and patient care support for all parties involved

## ADVANCED COMPLIANCE

### P29 Let's Talk About It: The Reality of the Impact of the Changes from the Revised Human Subject Rules

*Sunday, 1:30–3:00 PM*

MARIETTE MARSH, Director, Human Subjects Protection & Privacy Program, The University of Arizona

- Discuss the pitfalls, problems, and opportunities resulting from the rule changes one year later
- Identify areas to improve your own programs based on how peers have implemented the rules
- Develop a list of key resources and tools to further expand local implementation

## RISK MANAGEMENT

### P30 Weathering the Compliance Storm Forecast for the Future!

*Sunday, 1:30–3:00 PM*

STEVEN J. SAMPLE, Assistant Medical Center Director, Southern AZ VA Health Care System

DEBRA PHILLIPS, Compliance and Business Integrity Officer, VHA

- Develop an approach for identifying, evaluating, responding to, and monitoring risks and opportunities. This method helps you develop a systematic approach to analyze both the internal and external environmental factors impacting your enterprise
- How to partner with clinical stakeholders to identify risks impacting the enterprise and discover ways to develop a risk response strategy and cascade these risks and mitigation strategies throughout the organizational structure with C-Suite buy in
- Demonstrate an Enterprise Risk Management model which includes a risk register, risk assessment tool, sample interview questions, and risk project plan. These tools will help illustrate a method to methodology implement a comprehensive enterprise risk plan

INVESTIGATIONS

**P31 Tips for Conducting Interviews during Internal Investigations**

*Sunday, 1:30–3:00 PM*

DAVID M. GLASER, Shareholder, Fredrikson & Byron PA

- Who should do interviews and how should you document them?
- Recognize how some unconventional strategies, including telephone interviews, can be surprisingly effective
- Learn how to properly educate witnesses while avoiding acts that may be considered obstruction of justice

GENERAL COMPLIANCE/HOT TOPICS

**P32 Unpack the Corrective Action Toolkit!**

*Sunday, 1:30–3:00 PM*

CINDY J. MATSON, Senior Executive Director, Compliance Special Services, Sanford Health

BETH KUBISTA, Compliance Specialist, Sanford Health

- Discuss the diverse types of compliance issues that need to be corrected, the challenges that present in correcting them and why well intentioned corrective action might fail
- Review the importance of corrective action in a compliance program, receive and complete a template to adequately document a Corrective Action Plan
- Identify when to use education, self-monitors, root cause analysis, process mapping audits, policy change, repayment, attestation, etc. in order to implement effective corrective action

GENERAL COMPLIANCE/HOT TOPICS

**P33 A Federal Regulatory Visit Is on the Horizon: Are You Prepared for a Survey?**

*Sunday, 1:30–3:00 PM*

MICHELLE S. HEIDT, Quality Consultant, Kaiser Permanente

JANE Y. VAN NESS, Senior Legal Counsel & Compliance Officer, Permanente Medical Group

- Overview of the federal Emergency Medical Treatment and Active Labor Act (EMTALA) and the potential consequences of non-compliance
- Understand what is involved in preparing for a CMS visit, what to expect during the visit, and what are the strategies for addressing CMS findings to avoid penalties and reputational harm
- Learn how the organization has implemented a rigorous monitoring plan—both short and long term—to sustain compliance

**3:00–3:30 PM**

**Break**

**3:30–5:00 PM**

**Pre-Conference Breakout Sessions**

PRIVACY & SECURITY

**P34 Cybersecurity & Incident Response: The Nuts & Bolts of Avoiding and/or Responding to a Security Incident**

*Sunday, 3:30–5:00 PM*

SCOTT WROBEL, N1 Discovery

DEBRA A. GEROUX, Shareholder, Butzel Long

- Cyber risk in healthcare: Presenters will provide an update on what is trending in cyberattacks, how they are getting in, why they are targeting healthcare, what they are doing and what they are looking for
- Incident response: Real-world examples of how to handle incident response, including the steps to take for notification, remediation, and mitigation, and what to expect when the government starts their investigations
- Lessons learned from a major breach and best practices for healthcare providers to avoid becoming the victim of a cyberattack

PHYSICIAN COMPLIANCE

**P35 Developing, Implementing, and Delivering Physician Audits**

*Sunday, 3:30–5:00 PM*

KIMBERLY G. HUEY, President, KGG Coding & Reimbursement Consulting

SANDRA K. GIANGRECO BROWN, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC

- You know you need to audit, but you want to make the most of your limited resources—how do you focus on what's most important and structure your audits for the most impact? How do you present the results so that they will make a difference?
- Why physician practices don't audit, the benefits of an audit program, what areas should be audited, the benefits of an internal auditor versus an external auditor, the protection provided by attorney-client privilege, and more
- From timing of the audit to choosing the auditor and the audit sample to delivering the results for the most impact and educating the physicians, this session walks you through every aspect of the physician audit process

COMPLIANCE LAW

**P36 The Evolving Kickback from a Prosecutor's Perspective: Novel and Unique Arrangements Catching the Government's Attention**

*Sunday, 3:30–5:00 PM*

SARAH COBLE, Atrium Health

JON FERRY, Assistant US Attorney, Department of Justice

KAREN GLASSMAN, Senior Counsel, Office of Counsel to the IG, DHHS

JASON MEHTA, Partner, Bradley Arant Boult Cummings

- Attendees will learn about how the basics of the kickback statute and will understand some of the emerging financial arrangements that have been scrutinized as running afoul of the anti-kickback statute (AKS)
- Attendees will develop a better sense for how the government evaluates alternative financial structures and how the government assesses such arrangements for purposes of determining possible impermissible intent
- Attendees will leave with key compliance strategies and 5-10 tips for structuring arrangements to avoid AKS scrutiny

## HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

### P37 When IT Security Is Not Your First, Second, or Even Third Language: Working through Language Barriers and Thriving

*Sunday, 3:30–5:00 PM*

KYM J. CREEKMORE, Compliance Officer, National Service Center-Eurofins

JANINE S. FADUL, Director of Compliance & Privacy, GW Medical Faculty Associates

- Do I need to speak with them? Why you need to be involved—It's not as scary as you think
- I am sorry I don't speak that language. How do you get involved—Is there a Rosetta Stone class?
- I just don't understand you. How do you communicate and interpret for others—I say potato, you say po-tot-toe

## AUDITING & MONITORING

### P38 Medical Direction, CRNAs, and Other Anesthesia Coding, Billing, and Documentation Nuances

*Sunday, 3:30–5:00 PM*

KRISTEN R. TAYLOR, Associate Partner, Pinnacle Enterprise Risk Consulting Services

- Medical direction—what is it and what are the risk areas?
- Understanding the use and supervision of CRNAs
- Exploring the challenges of complying with teaching requirements

## POST-ACUTE CARE

### P39 Moving the Goalpost on Staffing Requirements: The Intersection of Federal Staffing Regulations, Payroll-Based Journal (PBJ) Data, and CMS Expected Staffing Model, and Combating Attempts to Assign a Heightened Standard to Long-Term Care Facilities

*Sunday, 3:30–5:00 PM*

ALEXIS A. LANGELLA, Attorney, Buchanan Ingersoll & Rooney PC

HEATHER ALLEVA, Associate, Buchanan Ingersoll & Rooney PC

- CMS has long identified staffing as one of the vital components of a nursing homes ability to provide quality care, and developed a system for facilities to submit staffing information based on PBJ data
- This session will explore how post-acute providers can best comply with federal staffing requirements based upon PBJ data, while combating the argument that they should meet the CMS “expected staffing” model, an emerging theme in patient care cases
- The presentation will discuss the interplay between compliance with state PPD requirements governing staffing, and potentially heightened nurse staffing requirements based on acuity and “expected staffing,” and how to best refute these new theories

## ADVANCED COMPLIANCE

### P40 Managing Your Conflicts of Interest Process: Lessons from the IRS 990, Maryland Health System, Memorial Sloan Kettering, Michigan State, and the Physician Sunshine Act

*Sunday, 3:30–5:00 PM*

JAMES G. SHEEHAN, Chief, Charities Bureau, NY Attorney General

ROY SNELL, Strategic Advisor, SCCE & HCCA

- Conflict of Interest management-risks, disclosures, confidentiality, reputation
- Who should be responsible for managing conflicts of interest reporting and evaluation? What systems and processes should your organization have in place? How can you tell if they are working?
- Coming attractions in conflicts of interest: industry academic partnerships, IRS 990 data crawlers, Physician Sunshine Act, research integrity

## RISK MANAGEMENT

### P41 The NIST Privacy Framework: An Enterprise Risk Management Tool

*Sunday, 3:30–5:00 PM*

KAREN S. GREENHALGH, HCISPP, CHC, CHPC, Managing Principal, Cyber Tygr

- NIST has created a new Privacy Framework! The Framework offers outcome-based privacy risk methodology, empowering privacy compliance practitioners to achieve a positive outcome for individuals' privacy, beyond a “check the box” program
- NIST, creators of the HHS recommended Cybersecurity Framework, collaborated with healthcare industry leaders to design the scalable and adaptable Privacy Framework. HHS/OCR are in discussions with NIST to design a HIPAA Privacy Rule crosswalk
- Privacy risk management with well-articulated objectives, actionable requirements, and clearly stated outcomes provides a clear and common purpose easily understood at all organizational levels of an enterprise. This is the goal of the new Framework

## INVESTIGATIONS

### P42 8th Commandment: Implementing Effective Disciplinary Framework

*Sunday, 3:30–5:00 PM*

REGINA F. GURVICH, VP, CCO, OMNI Ophthalmic Management Consultants

- Engaging with HR team to assessment of existing structure of disciplinary process, review of entity specific regulatory requirements, and development of “minimum necessary” set of documents
- Development of the joint (HR/ compliance) approach to investigations, documentation, and workflow
- Through the looking glass—review of sample investigation

GENERAL COMPLIANCE/HOT TOPICS

**P43 Building a New Research Compliance Program: Where Do I Begin?**

*Sunday, 3:30–5:00 PM*

LYNN E. SMITH, Director Research Compliance Officer, Tampa General Hospital

TRACY POPP, Senior Director Clinical Research, Tampa General Hospital

- Describe the basic elements of a research compliance program and the research risk assessment process
- Identify ways to partner with corporate compliance / internal audit, leverage resources, and prioritize needs
- Discuss strategies to gain organizational buy in

GENERAL COMPLIANCE/HOT TOPICS

**P44 How to Draft the Right Staff onto Your Compliance Team in the League of Healthcare**

*Sunday, 3:30–5:00 PM*

JENNIE C. HENRIQUES, Chief Compliance & Audit Officer, South Shore Health System

DONNA SCHNEIDER, Vice President, Corporate Compliance and Internal Audit, Lifespan

- Link the US Department of Justice evaluation of Corporate Compliance Programs Guidance Document updated in April 2019 to the importance of having the right staff on your team
- Identify the important “soft skills” that staff need to have, along with the technical breadth of knowledge, in order to be successful in the compliance field and engage in sometimes difficult conversations
- Lessons learned on how to assess and work with your staff to be successful in their roles

**5:00–6:30 PM**

**Opening Reception**

**Monday, March 30**

**6:45 AM–7:15 PM**

**Conference Registration**

**7:00–8:20 AM**

**Breakfast with Exhibitors**

**8:20–8:30 AM**

**Opening Remarks**

**8:30–9:00 AM**

**General Session**

**GS1 CMS Update**

KIMBERLY BRANDT, Principal Deputy Administrator for Operations and Policy, CMS

**9:00–9:30 AM**

**General Session**

**GS2 OIG Update**

CHRISTI GRIMM, Principal Deputy Inspector General, OIG

**9:30–10:00 AM**

**Break**

**10:00–11:00 AM**

**Breakout Sessions**

PRIVACY & SECURITY

**101 HIPAA Update & Enforcement**

*Monday, 10:00–11:00 AM*

TIMOTHY NOONAN, Deputy Director, Health Information Privacy Division, U.S. Department of Health and Human Services Office for Civil Rights

- Describe recent HIPAA enforcement actions and recognize patterns of noncompliance
- Identify best practices for HIPAA compliance
- Identify key strategies for managing cybersecurity threats

PHYSICIAN COMPLIANCE

**102 A Rose by Any Other Name: The Thorny Legal Issues Presented by the Growing Cannabis Industry—CBD or THC: Which is Which?**

*Monday, 10:00–11:00 AM*

STEPHEN H. SIEGEL, Partner, Lubell Rosen

- This presentation addresses the legal uncertainty and risks physicians and other healthcare practitioners face when determining whether to employ cannabis (THC or CBD) as part of their patient treatment regimen
- The presentation will discuss whether physicians and other healthcare providers need to develop policies and procedures for using cannabis (THC or CBD) and what those policies and procedures should address
- Physicians and other healthcare providers need to develop policies and procedures addressing how to deal with employees and independent contractors who legitimately use cannabis (THC or CBD)

COMPLIANCE LAW

**103 Ask the Stark Law Professionals**

*Monday, 10:00–11:00 AM*

LESTER J. PERLING, Partner, Nelson Mullins Riley

ROBERT A. WADE, Partner, Barnes & Thornburg LLP

STEVEN W. ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- General overview of the Stark Law
- Bring your Stark Law questions and the panel will analyze and discuss “real time” potential Stark Law risks
- “Live” answers to your Stark Law operational questions

## HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

### 104 Balance of Power: The Relationship between IT and Compliance Departments

*Monday, 10:00–11:00 AM*

MARK HECKLE, Director of IT, Appalachian Regional Healthcare System

BEN OWINGS, Manager, DHG, LLP

RYAN BOGGS, Managing Director, Dixon Hughes Goodman

- Gain insight into the communication and trust needed between compliance and IT departments to secure data and comply with regulatory requirements
- Encourage IT and compliance departments to work together to identify risks and remediate issues. This requires compliance departments to understand IT language
- Learn best practices to develop an environment of transparency to guide both departments toward an organization's goals

## AUDITING & MONITORING

### 105 Audits Gone Wrong: Managed Care Nightmares

*Monday, 10:00–11:00 AM*

WAYNE VAN HALEM, President, The van Halem Group

- This presentation will discuss our experience in managing difficult and complex managed care audits for out-of-network post-acute care providers
- Attendees will gain an understanding of what rights they have as a provider going through one of these audits and what processes and limitations the managed care plans must follow when conducting them
- Lastly, the session will also include tips and recommendations for responding to these challenging audits, up to and including, large extrapolated overpayments

## POST-ACUTE CARE

### 106 PDPM Compliance Risks for Physicians: A Strategy for Success

*Monday, 10:00–11:00 AM*

STEVEN BUSLOVICH, Co-Founder and Chief Executive Officer, Patient Pattern

PAIGE PENNINGTON, COO, Compliagent

BETSY WADE, Corporate Compliance Officer, Signature Healthcare

- The advent of PDPM raises substantial compliance burdens for physicians and compliance personnel in the SNF industry
- These risk areas include matters of pre-5-day MDS initial diagnoses, medical necessity, skilled justification, supporting documentation, and decreased resident lengths of stay
- Join us for an "in the trenches" discussion with a PAC/LTC medical director and SNF compliance officer regarding mitigating your PDPM compliance concerns and practical strategies for your organization's success

## ADVANCED COMPLIANCE

### 107 How to Prepare and Respond under the New DOJ Corporate Compliance Programs Criteria Using Real Case Examples

*Monday, 10:00–11:00 AM*

SHAWN Y. DEGROOT, Managing Director, SunHawk Consulting

BRIAN FLOOD, Partner - Attorney, Husch Blackwell LLP

- Review the new 2019 DOJ Corporate Compliance Programs guidance
- Discuss and compare the new criteria or emphasis in the new 2019 DOJ guidance with prior and related guidance from DOJ and HHS
- Discuss methods to prepare your organization, leadership and compliance program to pass a review under the new guidance using real case examples

## RISK MANAGEMENT

### 108 Breaking Down the Walls: 3 Proven Ways to Decrease Compliance Risk in the Revenue Cycle

*Monday, 10:00–11:00 AM*

EMILIE STURM, Sr. Revenue Management Consultant, Trinity Health

DAWN CRUMP, Sr. Director Revenue Cycle, MRO Corp

- Identify the top three compliance risks in the revenue cycle: how to manage disclosure of PHI within revenue cycle departments, how to evaluate levels of direct payer access to EHR systems, and how to effectively unite revenue cycle departments
- Assess new ways for compliance officers to work collaboratively with revenue cycle leaders to reduce risk, bridge communication gaps, and promote teamwork while also supporting billing integrity, revenue recovery for the organization
- Offer real-world guidance to improve compliance in centralized revenue cycle environment with focus on shoring up specific business office processes that may lead to inadvertent PHI disclosures during payer conversations, audits, and disputes

## INTERNAL AUDIT

### 109 DIY EMTALA Program Auditing

*Monday, 10:00–11:00 AM*

ROBERT S. BROWN, Director of Compliance, Univ of WA School of Dentistry

- Learn how to conduct an audit of your institution's EMTALA program
- Develop a partnership between compliance, the ED, patient registration, and health information management to achieve the best EMTALA compliance
- Avoid common pitfalls in EMTALA preparedness

BEHAVIORAL HEALTH

**110 Managing Crisis Situations and Avoiding Inappropriate Disclosures to Law Enforcement and Others**

*Monday, 10:00–11:00 AM*

TIM TIMMONS, Compliance and Privacy Officer, Community Counseling Solutions

CHRIS HUMPHREYS, Law Enforcement Liaison, Community Counseling Solutions

RACHELE BURKE, Mental Health Crisis Supervisor, Community Counseling Solutions

- What may a clinician disclose about a client in crisis
- What disclosures may be made to law enforcement during or after a crisis
- What role can multi-disciplinary teams play in preventing client crises

CASE STUDIES

**111 From Frenemies to Partners: Effective Compliance Program Collaboration with Your Therapy Provider**

*Monday, 10:00–11:00 AM*

BRITT MILLS, Regional Director of Operations, Symbria

ANGELE TRAN, Compliance Manager, Friends Service Alliance

- In an era of intense government scrutiny, learn how to integrate therapy to mitigate risk through collaboration. Evaluate real therapy related compliance challenges and identify practical solutions utilizing a case study approach
- Mitigate joint risks associated with your organizations therapy services, and learn how they should be addressed in your work plan utilizing the seven elements of a compliance program
- Avoid paybacks, penalties, and sanctions by effectively collaborating with your therapy provider to enhance transparency and build trust through integration of compliance processes based on ethical business practices

DISCUSSION GROUPS

*Discussion Groups are limited to 50 attendees and will be filled on a first-come, first-served basis. Pre-registration is not available for these sessions.*

**112 How to Revitalize the Culture of Compliance with Innovative Education**

*Monday, 10:00–11:00 AM*

LAQUENTA CLARKE, Corporate Compliance Education Manager, Shriners Hospitals for Children International Headquarters

- Establish a methodology for developing individualized branding for the compliance department
- Provide a process for devising risk-based education that incorporates branding and encourages willful learning utilizing persuasive techniques, subtle incentives, and innovative learning methods
- Discuss ways that incorporating branding into a strategic education program can improve compliance communication channels and make compliance more digestible to the workforce; therefore, fostering a strong culture of compliance

TELEHEALTH

**113 Is Telehealth for Us? Perspectives on Planning, Implementing, and Monitoring a Telehealth/Telepsych Program**

*Monday, 10:00–11:00 AM*

DAVID H. CHIN, Director of Accreditation & Documentation, Rutgers Health- University Behavioral Health Care

ANTHONY G. CAROLEO, Sr Compliance Officer, Rutgers, The State University Of NJ, University Ethics, and Compliance

- As healthcare organizations enter into telehealth and telepsych programs, many are unaware of the new set of risks they face. We will help you answer the question: Can a telehealth program work for your organization and the populations you serve?
- What is the focus and purpose of your program? Have you performed a survey to establish you community’s needs? We will discuss the use of a tool to assist in performing your needs assessment
- Finally, do you design your program as an in-house program, or should you consider going with an outside source for telehealth services? What new risks arise with telehealth service arrangements and how will you monitor them?

GENERAL COMPLIANCE/HOT TOPICS

**114 What Compliance Officers Need to Know about Board Responsibilities**

*Monday, 10:00–11:00 AM*

LAURA ELLIS, Senior Counsel, HHS OIG

MARGARET J. HAMBLETON, President, Hambleton Compliance, LLC; SCCE & HCCA Board Member

DANIEL R. ROACH, Chief Compliance Officer, Optum 360; SCCE & HCCA Board Member

- Provide practical understanding of Board responsibilities
- Strategic approaches to Board oversight
- Partnering with legal, audit, and others to provide sufficient information to the Board

GENERAL COMPLIANCE/HOT TOPICS

**115 The Balance of Protecting Staff While Caring for Patients Who Harass or Exhibit Violent Behavior**

*Monday, 10:00–11:00 AM*

LISA A. TAYLOR, Vice President and Chief Compliance Officer, UC Health

ANGELA M. MELILLO, Chief Compliance Officer, Cooper University Health Care

- Balancing the CMS COPs to protect patients, families, and employees, while complying with EMTALA regulations and providing necessary patient care
- Formulating a plan and policies to deal with harassing and/or violent behavior at your institutions
- After a confrontation—exploring the actions that could prevent the multitude of issues that may be encountered

**11:00 – 11:30 AM  
Break**

11:30 AM–12:30 PM

## Breakout Sessions

### PRIVACY & SECURITY

#### 201 How to Assess and Address the Unique Cybersecurity and Data Risks with Clinical Trials

*Monday, 11:30 AM–12:30 PM*

TRACY POPP, Senior Director Clinical Research, Tampa General Hospital

WENDY S. PORTIER, Independent Consultant, Kelly Willenberg and Associates

- Describe the unique privacy and security risks clinical trials and their sponsors pose to healthcare organizations
- Illustrate key components to consider when assessing technology to be used as part of a proposed research study including: information technology, software, hardware, and image/data transfers
- Discuss how to implement a technology feasibility and assessment process to address and assess data and cybersecurity risks in the context of clinical trials

### PHYSICIAN COMPLIANCE

#### 202 Compliance Quality and Risk Self-Assessment: A Two-Year, PI-CME Journey

*Monday, 11:30 AM–12:30 PM*

D. SCOTT JONES, Chief Compliance Officer, Augusta Health

- Take the next step in a two year, performance improvement continuing medical education (PI-CME) compliance project for providers and medical groups. Learn what worked and what didn't as physicians and APPs completed a two year assessment of compliance risk
- Learn to use CME as a compliance education tool, and how to apply for PI-CME and maintain documentation needed to ensure providers earn and receive the benefits of a PI-CME program
- Go behind the scenes: How did we identify and address compliance concerns from the PI-CME Self-Assessment, communicate with providers, and enhance compliance while building partnerships with physicians and APPs?

### COMPLIANCE LAW

#### 203 The Relationship between In-House Counsel and the Compliance Officer

*Monday, 11:30 AM–12:30 PM*

MICHELLE O'NEILL, Vice President, Corporate Compliance & Privacy, Summit Medical Group, PA

GABRIEL IMPERATO, Managing Partner, Nelson Mullins Broad and Cassel; SCCE & HCCA Board Member

ISAI SENTHIL, VP Associate General Counsel, Summit Medical Group

- Discuss the roles and responsibilities of in-house counsel and the compliance officer
- Review how each role has expanded and evolved over the recent years, in response to regulatory challenges
- Learn how to create an ideal partnership that fosters respect, trust, teamwork, and an effective compliance program

### HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

#### 204 Metrics that Matter: Demonstrating Your Program Effectiveness

*Monday, 11:30 AM–12:30 PM*

RUTH KRUEGER, Principal, Compliance Coach Co LLC

- Review newest DOJ guidance for evaluating compliance programs
- Discuss elements that demonstrate program effectiveness
- Provide tools to highlight the metrics that support DOJ recommendations

### AUDITING & MONITORING

#### 205 CDM Surprise: What Compliance Risks Are Hiding in Your Chargemaster?

*Monday, 11:30 AM–12:30 PM*

KAREN A. ROBINSON, Manager, Arnett Carbis Toothman LLP

MYRANDA J. PIKE, Manager, Arnett Carbis Toothman LLP

- Review the basics of charge description master (CDM) management and understand how one file holds the key to your entire billing system
- Learn why appropriate CDM oversight is an absolute necessity and how small errors can make big trouble for providers
- Establish best practices for hospitals to manage their CDM and understand what role compliance professionals should play in the process

### POST-ACUTE CARE

#### 206 PDGM: Shared Savings and Increased Regulatory Scrutiny, Oh My!

*Monday, 11:30 AM–12:30 PM*

VALERIE L. WITMER, Chief Compliance Officer, AccentCare, Inc.

ALAN SCHABES, Partner, Benesch, Friedlander, Coplan & Aronoff LLP

- How can a home health/hospice compliance professional make sense of all of this?
- Home Health PDGM Reimbursement System—What does a client professional need to do to prepare for the January 1, 2020 implementation date?
- CMS and private-payor bundled arrangements for home health and hospice providers—Lessons for the compliance professional

ADVANCED COMPLIANCE

**207 Opioid Crisis: Big Pharma Got Us Here—Can Compliance Get Us Out?**

*Monday, 11:30 AM–12:30 PM*

CINDY W. HART, Compliance Professional,

JACKIE ROBERTSON-GUTSHALL, Compliance Officer, Advanced Regional Center for Ankle and Foot Care

- Discuss when Big Pharma execs became aware of opioid abuses and what actions they took (or did not take). Let's talk about Project Tango
- Learn how various government agencies are working to combat the opioid crisis through litigation, education, and a host of government and state regulations
- Explore the role of compliance in the opioid crisis: From ethical sales campaigns, to PhRMA code adherence, to effective monitoring and auditing

RISK MANAGEMENT

**208 Insurance Coverage for Healthcare Fraud and Abuse Related Risks: How Compliance Professionals Can Make a Differencee**

*Monday, 11:30 AM–12:30 PM*

PATRICK HICKEY, Principal, 9th Street Insurance and Risk Services, LLC

BETH STRAPP, Senior Vice President, Berkley Healthcare Financial Lines

CRAIG GREENFIELD, Chief Compliance Officer, Family Service League, Inc.

- Understand the types of insurance that are available to protect healthcare organizations, from FCA, Stark, and AKS related claims. Gain insight on coverage scope, limits available, and key exclusions contained in insurance policies
- Hear an underwriter's view of healthcare fraud and abuse related risks, and learn about the characteristics underwriters look for when they offer coverage
- Discuss tips for compliance professionals and ways in which they can help their organizations understand the options available and obtain optimal insurance terms

INTERNAL AUDIT

**209 Navigating the Expanding Horizon for Risk Management and Internal Auditing in the Pharmaceutical Arena**

*Monday, 11:30 AM–12:30 PM*

CHRIS COVINGTON, Assistant Special Agent in Charge, HHS-Inspector General

TONY LESSER, Advisory Senior Manager, Deloitte & Touche LLP

DEBI WEATHERFORD, Executive Director Internal Audit, Piedmont Healthcare

- Provide updates on key regulations affecting healthcare pharmacy programs including 340B, price transparency, pharmaceutical rebates, and interoperability
- Review key trends and risks related to pharmaceutical billing compliance
- Discuss strategies, effective governance and control processes to audit and monitor the pharmacy arena

BEHAVIORAL HEALTH

**210 Part 2 Compliance: Where Nobody Knows Your Name**

*Monday, 11:30 AM–12:30 PM*

MARY L. LEGERSKI, Director Corporate Compliance, Cleveland Clinic

VICKI BOKAR, Senior Director, Corporate Compliance, Cleveland Clinic

- Learn how to operationalize key provisions of 42 CFR part 2 Confidentiality of Substance Use Disorder Patient Records including the following: Obtaining patient consent, sharing information for health information exchanges, etc.
- Identify substance abuse records that may be accessible in your electronic health record system that you haven't even thought about
- Learn how to engage information technology staff and business associates to assist the compliance team in implementing necessary controls and processes for Part 2 compliance which may differ from the controls and processes already in place for HIPAA

CASE STUDIES

**211 How to Survive, and Even Thrive, with a Quality of Care CIA: Legal and Operational Considerations from Negotiations through Exit**

*Monday, 11:30 AM–12:30 PM*

WILLIAM MATHIAS, Shareholder, Baker Ober Health Law

TAMAR ABELL, CEO, TBA Consultant

- Overview of post-acute provider journey through a CIA including negotiating with the OIG from a legal and operational perspective and special considerations when a change in ownership occurs and successor liability
- Operational challenges in implementing a CIA in an organization with limited experience in building a compliance program and developing culture change throughout the organization, including ownership and Board of Directors
- Importance of data, monitoring, and root cause analysis in creating a robust compliance program and developing an effective relationship with the federal monitors

DISCUSSION GROUPS

*Discussion Groups are limited to 50 attendees and will be filled on a first-come, first-served basis. Pre-registration is not available for these sessions.*

**212 How to Play Nice in the Sandbox and When to Get Out: Panel Discussion with Chief Compliance Officers to Discuss Sandy Situations**

*Monday, 11:30 AM–12:30 PM*

MELISSA MITCHELL, Chief Compliance and Privacy Officer, Shirley Ryan Ability Lab

DONNA D. MORRIS, AVP of Compliance, Rush University Medical Center

- Discuss tough compliance situations, thought process for risk mitigation, and how to respond to those in the sandbox
- How to better prepare you for tough situations
- Sharing of best practices

## TELEHEALTH

### 213 Telehealth Views from the Friendly Physician, the Friendly Coder, and (Believe It or Not) the Friendly Attorney

*Monday, 11:30 AM–12:30 PM*

CHRISTOPHER A. MORRISON, MD,

MICHAEL IGEL, Esquire, Johnson Pope Bokor Ruppel & Burns LLP

MAGGIE M. MAC, President, Maggie Mac-MPC Inc.

- Analysis of CMS regulations surrounding Telehealth including remote patient evaluations, inter-professional consultations and the expansion of telehealth within Medicare Advantage plans
- Discuss clinical services and their respective codes that are currently included in the expansion of Medicare Telehealth Services and private payor relationships. Understand the current E/M components when providing telehealth patient care
- Structuring a telehealth business: Discuss important considerations for the organization and operation of a national, telemedicine based services organization

## GENERAL COMPLIANCE/HOT TOPICS

### 214 Compliance Policies and Procedures 101: How to Develop and Manage Your Compliance Policies

*Monday, 11:30 AM–12:30 PM*

GLENA JARBOE, Director, Ankura Consulting

LITANY WEBSTER, Regulatory Compliance Manager, Kroger Health

- Policy management: Administration of your organizations policies
- Policy development: What compliance policies should you have and how to develop them
- Policy implementation: Communication, accountability, and ongoing assessment/review

## GENERAL COMPLIANCE/HOT TOPICS

### 215 The Laboratory Risk Assessment: The Process, the Top Risks, and What to Audit

*Monday, 11:30 AM–12:30 PM*

ANDREA TREESE BERLIN, Senior Counsel, OIG-U.S. Department of HHS

BARBARA L. SENTERS, Chief Compliance & Ethics Officer, Sonic Healthcare USA, Inc.

- Review risk assessment best practices to create multi-disciplinary teams to provide insight to vulnerabilities and to foster operational acceptance and implementation of compliance protocols into functional processes
- Discuss a deep dive of top laboratory fraud and abuse risks
- Discuss and receive suggested audit protocols to address lab risks as a basis for any laboratory compliance plan

**12:30 – 1:15 PM**

**Lunch**

**1:15 – 1:45 PM**

**Dessert with Exhibitors**

**1:45 – 2:45 PM**

## Breakout Sessions

### PRIVACY & SECURITY

### 301 The Patient Record Scorecard: Get Into Compliance with the HIPAA Individual Right of Access before OCR Comes Knocking

*Monday, 1:45–2:45 PM*

DEVEN MCGRAW, Chief Regulatory Officer, Ciitizen

- An August 2019 published survey and scorecard of more than 3,400 hospitals show that 60 percent are out of compliance with the HIPAA Right of Individual Access
- Federal regulators are increasingly focusing on the right of patients to access their data, and complaints about access are now the top category of HIPAA complaints filed with OCR, which recently announced its intent to more robustly enforce access rules
- Learn the common ways many hospitals fail to comply with the HIPAA Right of Access and how to get your Right of Access processes into compliance before a potential OCR investigation

## PHYSICIAN COMPLIANCE

### 302 EHR/Documentation Risks and Impacts on Care/Quality and Payments: 2021 Changes

*Monday, 1:45–2:45 PM*

COLLEEN A. DENNIS, Compliance Analyst, Children's Hospital - Colorado Springs

SAUNDRA DENHAM, SVP, Compliance, Risk & Audit Services, Univ of Louisville Physicians, University of Louisville Hospital and James Graham Brown Cancer Center

KENNETH M. JENKINS, Hospital Compliance Officer, Vanderbilt University Medical Center

- Risks associated with Templates/Copy/Paste, etc.
- 2021 A Brave New World
- Impacts to compliance and audit function

## COMPLIANCE LAW

### 303 A Changing Landscape: Kickback and Self-Referral Developments

*Monday, 1:45–2:45 PM*

TONY MAIDA, Partner, McDermott Will & Emery

KATHERINE BOWLES, Attorney, Registered Nurse, Nelson Hardiman

- Learn about the changes CMS and OIG proposed to the Physician Self-Referral Law and Anti-Kickback Statute and insights on what the final rule will say
- Understand the newest kickback law—Eliminating Kickbacks in the Recovery Act of 2018 (EKRA)—and how it impacts your organization
- Review the latest government enforcement efforts for lessons to apply in your compliance program

### 304 Effective Techniques in Organizing and Using Your Computer's Windows Desktop Screen

*Monday, 1:45–2:45 PM*

FRANK RUELAS, Facility Compliance Professional, St. Joseph's Hospital and Medical Center - Dignity Health

- Computer desktops can provide compliance professionals with a number of options that can significantly increase the efficiency of a compliance professional's use of time
- The session helps attendees identify key areas that often lead to wasted or the ineffective use of time during the day that could otherwise be used to accomplish work related tasks
- Attendees will receive three very effective takeaways that can easily be implemented and integrated into the work day that will immediately save the compliance professional valuable time which can help manage an otherwise very busy day to day schedule

#### AUDITING & MONITORING

### 305 Compliance and the CMIO: Working in Perfect Harmony

*Monday, 1:45–2:45 PM*

SNEHAL V. GANDHI, VP Medical Informatics, Cooper University Hospital

ANGELA M. MELILLO, Chief Compliance Officer, Cooper University Health Care

- Understand how Compliance and the CMIO working together can deep dive into clinical workflows to reduce data entry burden, optimize EMR templates, decrease provider "work after work" EMR burnout and meet documentation guidelines
- Review how the use of EMR reporting through the intersection of informatics, quality, and analytics can be used by compliance to identify potential compliance concerns
- Provide examples and tools that can assist in the identification of potential issues and errors through the creation of Informatics reports

#### POST-ACUTE CARE

### 306 Marketing or Social Networking

*Monday, 1:45–2:45 PM*

BRITTANY PAPE, Vice President of Compliance and Counsel, Senior Lifestyle Corporation

BARBARA DUFFY, Shareholder, Lane Powell

- Fair Housing Act and advertising
- HIPAA risks
- Policies and monitoring

#### ADVANCED COMPLIANCE

### 307 Rethinking the Code of Conduct: Building Effectiveness through Simplification

*Monday, 1:45–2:45 PM*

DARRELL W. CONTRERAS, Chief Compliance Officer, Millennium Health

- Review the existing guidance on the Code of Conduct, this session will evaluate what is required for an "effective" code of conduct. This will set the stage for simplifying to a 1-page code of conduct
- Evaluate the goals and realities of the existing code of conduct to facilitate discussion on designing a new, 1-page version of the code of conduct
- Discuss and consider roll-out strategies that include selling the concept of a 1-page code of conduct to the Board and leadership, increased use of the code of conduct, and increased ownership and reliance on the code of conduct to drive decision-making

#### RISK MANAGEMENT

### 308 Don't Leave the Board Behind: Engagement in Compliance, Risk, and Patient Safety

*Monday, 1:45–2:45 PM*

SHARON L. TAYLOR, Director Risk Management/Accreditation Svcs, Burgess Health Center

DAWN MICHELLE KINNEER, Risk and Patient Safety Education Manager, Constellation – MMIC

- Compare and contrast the framework of board member duties with application to compliance, risk, and patient safety programs
- Relate board roles and duties to elements of an effective compliance, risk, and patient safety programs
- Design a structure to enhance the board's literacy in terms of risk management and patient safety techniques

#### INTERNAL AUDIT

### 309 Top IT and Cyber Risks to Include in Your Audit Plan: 2020 Update

*Monday, 1:45–2:45 PM*

JOHAN LIDROS, President, Eminere Group

- The use and deployment of information technology (IT) is a critical success factor for healthcare organizations. The speed of change, complexity and new requirements in the technology arena impact the ability to manage risk and ensure compliance
- In this session, participants will learn about the latest IT and cyber threats that can challenge their ability to deliver quality outcomes. They will learn how to best protect their data, ensure compliance and effectively monitor IT & cyber risks
- We will discuss best practices in IT Governance and help participants become better prepared for the latest IT audit challenges. This will include references to excepted industry standards

#### BEHAVIORAL HEALTH

### 310 Strategies for Compliance: Credentialing and Privileging in Behavioral Health

*Monday, 1:45–2:45 PM*

ANTHONY G. CAROLEO, Senior Compliance Officer, Rutgers University

DAVID H. CHIN, Director of Accreditation & Documentation, Rutgers Health - University Behavioral Health Care

- What is credentialing and privileging? Learn the importance of each and the difference between the two. A discussion of applicable regulatory and accreditation requirements will be included
- Identify what strategies that can be deployed to improve your credentialing and privileging processes and protect your agency from compliance, quality, and financial risks
- Review special considerations for credentialing and privileging, as well as potential "red flags"

## CASE STUDIES

### 311 Lessons in Ethical Leadership from Recent Events

Monday, 1:45–2:45 PM

LT LAFFERTY, Partner, Holland & Knight

- Examine recent ethical failures as compliance and ethics case studies
- Understand lessons in ethical leadership from each case study
- Learn ethics and compliance tips that can help your organization successfully manage and mitigate potential risk areas

## DISCUSSION GROUPS

*Discussion Groups are limited to 50 attendees and will be filled on a first-come, first-served basis. Pre-registration is not available for these sessions.*

### 312 All Things Research

Monday, 1:45–2:45 PM

KELLY M. WILLENBERG, Manager, Kelly Willenberg and Associates

- Analyze research compliance issues
- Relate compliance to research compliance
- Dramatize the challenges in research compliance

## TELEHEALTH

### 313 How to Effectively and Legally Implement Telehealth in Your Organization

Monday, 1:45–2:45 PM

CORY KOPITZKE, Attorney, Quarles & Brady

KATEA RAVEGA, Quarles & Brady

JOSEPH F. ZIELINSKI, Senior Counsel, Wooden McLaughlin LLP

- Discussion and analysis of CMS's telehealth requirements and how a compliance program can effectively monitor them
- Attendees will see how CMS's requirements mandate specific elements for telehealth visits to be appropriate for billing. This discussion will also include a data driven analysis of how to rate telehealth compliance risks
- Discussion of best practices for effectively implementing telehealth in your organization and how to illustrate compliance with CMS's requirements. This discussion will also cover the necessary licensing and credentialing for implementing telehealth

## GENERAL COMPLIANCE/HOT TOPICS

### 314 Healthcare Crisis at the Border: Legal and Compliance Issues Faced by Hospitals Treating Immigrants, Migrants, and Refugees

Monday, 1:45–2:45 PM

LESLEY ANNE M. DURANT, VP Chief Compliance & Privacy Officer, Doctors Hospital at Renaissance

- EMTALA: Requirements to treat and challenges with transfers of undocumented individuals
- HIPAA: Disclosures to law enforcement for suspects, detainees, and unaccompanied or separated minors
- Mental health and sexual assault services: Diagnoses particularly affecting immigrants on southern border, and challenges regarding access and disclosures

## GENERAL COMPLIANCE/HOT TOPICS

### 315 A New Trend: OIG Mandated Six-Year Lookback Audits and Voluntary Refunds

Monday, 1:45–2:45 PM

DENISE LEARD, Attorney, Brown & Fortunato, P.C.

WAYNE VAN HALEM, President, The van Halem Group

- While not a new requirement, this presentation will discuss the new trend of OIG mandating providers perform a six-year lookback audit once they have completed and published an audit of their own
- We will discuss the requirements of providers who find themselves in this situation and what actions they should take in order to reduce risk and mitigate the impact of such a review
- Lastly, it will discuss the legal implications for providers who may be found to be non-compliant with the six-year lookback rule

2:45–3:15 PM

Break

3:15–4:15 PM

## Breakout Sessions

### PRIVACY & SECURITY

### 401 You Have the Right to Remain Compliant: Considerations for Handling Requests for Information from Law Enforcement

Monday, 3:15–4:15 PM

CATIE HEINDEL, Managing Senior Consultant, Strategic Management

ASHLEY HUNTINGTON, Privacy Officer, Cook County Health

- Provide an overview of the HIPAA requirements and unique state law considerations for sharing patient information with law enforcement
- Explore and examine emerging issues and trends with release of information and the authority of law enforcement oversight agencies
- Identify the roles and responsibilities of compliance, legal, health information management, and clinic staff in working with law enforcement while protecting patient privacy and provide tips for communication and collaboration

### PHYSICIAN COMPLIANCE

### 402 Building a Physician Practice Audit Program (That Your Physicians Will Appreciate!)

Monday, 3:15–4:15 PM

MARY CURRY, Healthcare Compliance Officer, SIU School of Medicine

SARAH M. COUTURE, Senior Director, Ankura Consulting Group

- Risk assessment: The foundation of your audit plan
- Structuring a best practice physician practice audit plan
- Engaging physicians and reporting audit results

## COMPLIANCE LAW

**403 Healthcare Private Equity: Moving into the Government's Crosshairs***Monday, 3:15–4:15 PM*

PAMELA COYLE BRECHT, Partner and Chair, False Claims Act Practice Group, Pietragallo Gordon Alfano Bosick & Raspanti, LLP

ANGELA HUMPHREYS, Member and Chair, Healthcare Practice Group, Bass Berry + Sims

- Private equity (PE), through mergers and acquisitions, has moved into the healthcare space in a very big way. The future spend on healthcare in this country has attracted PE to take publicly-traded healthcare behemoths private
- Whistleblowers and law enforcement have taken notice
- There are pitfalls and sand traps for PE to avoid from the due diligence through the post-M&A period

## HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

**404 Remotely Speaking: Strategies for Effective Remote Employees and Their Employers***Monday, 3:15–4:15 PM*

NIURKA I. ADORNO, AVP Compliance, Molina Healthcare of South Carolina & Molina Healthcare of Puerto Rico

TOMI K. HAGAN, Chief Compliance Officer, Great River Health System

KYM J. CREEKMORE, Compliance Officer, National Service Center-Eurofins

- Oh so far, yet still so close: Optimizing the opportunities that remote employment provides
- Going the distance: Avoiding the pitfalls and compliance concerns with remote employment
- You don't have to be there, to be there: Tools, tips, and tricks to keep everyone connected

## AUDITING &amp; MONITORING

**405 Managing Commercial Third-Party Payor Audits***Monday, 3:15–4:15 PM*

RICHELLE MARTING, Attorney, Forbes Law Group LLC

- Identify types and sources of payor audits and the characteristics of each
- Discuss strategies for responding to commercial payor audits
- Identify common audit findings and practical strategies for responding to each

## POST-ACUTE CARE

**406 Practical Guidance and Strategies for Skilled Nursing Facility Compliance & Ethics Programs***Monday, 3:15–4:15 PM*

JACQUELINE M. ANDERSON, Partner, Rolf Goffman Martin Lang LLP

ARIC D. MARTIN, Managing Partner, Rolf Goffman Martin Lang LLP

ROBERT LAFRENTZ, Sr. Executive Director, Corporate Compliance, Sanford Health

- Identify and evaluate key compliance risk areas for nursing facilities, including billing compliance, HIPAA, contracting, and quality of care
- Identify strategies for preventative compliance and avoidance relating to significant risk areas and evaluate opportunities to decrease costs associated with compliance risks
- Understand the government investigation process and learn practical strategies to prepare your organization in advance for response

## ADVANCED COMPLIANCE

**407 Compliance Alignment and Joint Ventures***Monday, 3:15–4:15 PM*

BOB BREIGHNER, VP Comp & Audit Services, Select Medical Corp

ROBERT R. MICHALSKI, Chief Compliance Officer, Baylor Scott & White Health

- With joint ventures (JVs) on the rise within health systems, compliance program alignment with JVs needs to be considered and fostered to protect the brand and reputation of both organizations
- Session will address the necessary functions of a strong JV compliance relationship, such as establishing communication channels, baseline compliance and privacy assessments, ongoing monitoring and education, routine reporting and consistent dialogue
- Hear from compliance officers who have established this partnership as they share their personal highlights and best practices

## RISK MANAGEMENT

**408 The Road to Anywhere: Navigating Your First Risk Analysis as a Contract Therapy Company***Monday, 3:15–4:15 PM*

KRISTI O'DELL, Vice President of Compliance for Reliant Rehabilitation, Reliant Rehabilitation

AMY PHIPPS, Chief Clinical and Compliance Officer, Reliant Rehabilitation

- Risk assessment methodologies are available in abundance; however, selecting, executing, and interpreting the assessment are not tasks to take for granted. Attendees will identify potential risk assessment templates and tailor the chosen assessment
- Attendees will discuss strategies for executing the risk assessment including appropriate team members, information gathering, and selection of data points for analysis of results
- Using two case studies, attendees will identify areas of risk and map an action plan based on comparative findings. The cases will be used to demonstrate the significance of interpretation relative to risk impact and vulnerability

## INTERNAL AUDIT

### 409 Enhance Your Risk Program: Risk Assessment, Risk Tolerance, and Enterprise Risk Management

Monday, 3:15–4:15 PM

LORRIE O. GHOSE, Chief Audit Executive, Fairview Health Services

- Practical tools for conducting an internal audit and compliance enterprise-wide risk assessment
- Guiding leadership to establish your organization's risk tolerance and principles
- Enterprise risk management: Explanation of the process for identification and ownership of enterprise risks (including risk assessment tools and examples of Board reports)

## BEHAVIORAL HEALTH

### 410 Compliance Challenges and Best Practices for Providers of Medicaid Home and Community-Based Services

Monday, 3:15–4:15 PM

SHERRI D. BRADLEY, Compliance Officer, BrightSpring Health Services

ALEAH M. SCHUTZE, Of Counsel, Steptoe & Johnson PLLC

- HCBS waiver programs continue to account for more and more of a state's Medicaid long-term care expenses. As expenditures grow, so does government scrutiny of providers. The speakers will discuss current enforcement trends
- The speakers will discuss common compliance issues in the HCBS waiver setting, as well as the challenges inherent in conducting compliance reviews and investigations in waiver homes
- The speakers will offer suggestions for best practices for HCBS waiver providers, especially those struggling to implement or fine tune their compliance programs

## CASE STUDIES

### 411 Not Just the Department of No: Promoting Ethical Business Practices and Supporting Innovation

Monday, 3:15–4:15 PM

NOLEN MORTON, Compliance Specialist, Children's National Hospital

- Define the tangible benefits of being a business enabler by engaging business leaders, including early inclusion of compliance in certain areas
- Assess three specific situations in which compliance worked with business partners and care teams to set up programs with parameters in place to ensure ethical business practices
- Discuss "lessons learned" from working with business partners, including the overarching themes that compliance sees across requests for assistance. Additionally, describe how compliance uses these efforts to drive training and communications plans

## DISCUSSION GROUPS

*Discussion Groups are limited to 50 attendees and will be filled on a first-come, first-served basis. Pre-registration is not available for these sessions.*

### 412 One Size Does Not Fit All: Explore the Various Structures between Internal Audit, Legal, Compliance, Privacy, and Risk Management

Monday, 3:15–4:15 PM

JENNIFER WOOTEN, Northwestern Memorial HealthCare

LEYLA C. ERKAN, Global Healthcare Compliance Lead, Protiviti

ANNE DALY, Chief Compliance and Integrity Officer, Children's Hospital of Chicago Medical Center

- Review the different structure types and examples of what has been successful versus unsuccessful
- Tips for increasing collaboration between and across functions
- Discuss methods for adjusting your organization's structure to operate more effectively, including the relationship to the Board and the best reporting methods

## TELEHEALTH

### 413 Telehealth and Cutting-Edge Ventures: The Partnership between Strategic Planning & Privacy is Critical for the Success of Both

Monday, 3:15–4:15 PM

JULIE HALL-BARROW, Senior Vice President, Children's Health

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

- The perspective from strategic planning and new ventures—Why involve the privacy officer?
- The privacy officer perspective—How to be a good partner
- Challenges of telemedicine and other cutting-edge technology from a privacy perspective

## GENERAL COMPLIANCE/HOT TOPICS

### 414 The HHS Health Industry Cybersecurity Practices: Managing Threats and Protecting Patients

Monday, 3:15–4:15 PM

ERIK DECKER, CISO and Chief Privacy Officer, University of Chicago Hospitals

TY GREENHALGH, Managing Member, Cyber Tygr

- Join this session and learn CMS's "Top 5 Threats" and "10 Best Practices" targeted to mitigate specific cybersecurity threats in easy to understand terms providing templates and toolkits: PnPs, Self-Assessment, Roadmaps, and Best Practices
- The Lower Health Care Cost Act recommends basing section 502 "Recognition of Security Practices" on this HHS publication which under HITECH can reduce fines, decrease the length of audits, and limit remedies within agreements like Corrective Action Plans
- The Congressionally mandated 405(d) Task Group, supported by HHS/OCR, comprised of 150 cybersecurity experts has determined the 10 Best Mitigation Practices for small, medium, and large organizations. 2019 FedHealthIT Award Winner! Come join us

## GENERAL COMPLIANCE/HOT TOPICS

### 415 OIG Developments

Monday, 3:15–4:15 PM

GREG DEMSKE, Chief Counsel to the Inspector General, HHS-OIG

4:15–4:35 PM

Break

4:35 – 4:45 PM

**Awards Presentation and Closing Remarks**

4:45 – 5:45 PM

**General Session**

**GS3 Fraud Is Not a Trade Secret: A Conversation with Tyler Shultz**

TYLER SHULTZ, Theranos Whistleblower & Entrepreneur

- How can a company acquire a \$10 billion valuation built on fraud? How does a 20-something Stanford dropout deceive esteemed statesmen and titans of the industry? What leads someone to defraud hundreds of employees, thousands of investors, and millions of patients on an unprecedented scale?
- Tyler Shultz, the man most responsible for bringing down Theranos, answers these questions and more. In a compelling conversation, Shultz takes audiences through his time as an employee at Theranos, discussing everything from his relationship with Elizabeth Holmes, to the faulty blood testing technology, to his efforts to expose the truth about Theranos
- With humility and candor, Shultz addresses the importance of corporate governance, modern-day business ethics, Silicon Valley culture, and the lessons the corporate world can learn from Theranos' historic fraud

5:45 – 7:15 PM

**Networking Reception in Exhibit Hall**

**Tuesday, March 31**

6:45 AM – 4:30 PM

**Conference Registration**

7:00 – 8:00 AM

**Rooster Sessions** 

GENERAL COMPLIANCE/HOT TOPICS

**RS1 Boot Scootin' Compliance Boot Camp: How to Lasso Your Leaders into Becoming Compliance Champions**

*Tuesday, 7:00–8:00 AM*

SHANNA LUKE, Compliance Programs Manager, Intermountain Healthcare

JORDAN B. MUHLESTEIN, Compliance & Ethics Director, Intermountain Healthcare

- Acquire a tool kit for creating a plan to engage leaders to become champions for addressing high-risk compliance issues
- Discuss practical methods to deliver education to executives and physicians
- Identify pitfalls inherent in education in a healthcare setting and suggestions of how to minimize them

GENERAL COMPLIANCE/HOT TOPICS

**RS2 How We Created a Culture of Compliance with a Big Blue Owl**

*Tuesday, 7:00–8:00 AM*

PAMELA SANTA, Clinical Compliance Specialist, Athletico

JOANNE F. O'MALLEY, VP Compliance, Athletico Ltd

KARIN M. BUTIKOFER, Manager of Privacy & Compliance, Privacy Officer, Athletico, Ltd

- Creating a culture of compliance, whether at a large, multi-site organization or a stand-alone facility, is a daunting task. Overcome this is by branding your compliance department. Identify how to carry out this process and promote the brand
- Determine effective strategies to increase visibility and employee engagement by instituting compliance-related recognition programs and rewards, field compliance liaisons, branded materials such as a Code of Conduct, and other outreach efforts
- Compile strategies for engaging management at all levels to support the compliance program from the top down to create a successful culture, including being proactive in identifying and managing risks

GENERAL COMPLIANCE/HOT TOPICS

**RS3 OIG Developments (REPEAT)**

*Tuesday, 7:00–8:00 AM*

GREG DEMSKE, Chief Counsel to the Inspector General, HHS-OIG

7:00 – 8:20 AM

**Breakfast with Exhibitors**

8:20 – 8:30 AM

**Awards Presentation and Opening Remarks**

8:30 – 9:30 AM

**General Session**

**GS4 Integrity**

Facilitator: JENNY O'BRIEN, CHC, CHPC, Chief Compliance Officer, UnitedHealthcare

ROY SNELL, CHC, CCEP-F, Strategic Advisor, SCCE & HCCA

Join us for a discussion with HCCA co-founder and long-time CEO Roy Snell as he tackles questions focused on integrity, one of the most important but unstated elements of a compliance & ethics program.

- Can integrity be taught and measured?
- What, if anything, can compliance officers do to improve integrity?
- What are the factors that erode integrity that compliance teams should watch for?

9:30 – 10:00 AM

**Break**

10:00 – 11:00 AM

Breakout Sessions

PRIVACY & SECURITY

**501 The New Kids on the HIPAA Block: States' Attorneys General Join the Party**

*Tuesday, 10:00–11:00 AM*

THORA A. JOHNSON, Partner, Venable LLP

DEBRA M. LIGHTNER, Chief Compliance Officer, EmblemHealth, Inc.

JAIME PEGO, Managing Director, Healthcare Advisory, KPMG

- In recent years, States' Attorneys General have begun to exercise their authority to enforce HIPAA. In 2018, the total number of state settlements exceeded the total number of federal settlements
- We will provide an overview of the life cycle of a State Attorney General action, along with recommendations for navigating the process and avoiding potential pitfalls
- We will also discuss how to leverage such a challenging situation and use it as an opportunity to improve your organization's overall privacy program and controls

PHYSICIAN COMPLIANCE

**502 Opioid Prescription Compliance Program: Ensuring Compliance in Your Practice**

*Tuesday, 10:00–11:00 AM*

STACY GERBER WARD, Partner, von Briesen and Roper

CARI CAMPBELL, Attorney, Reynolds & Kenline, LLP

- Federal, state, and CDC perspectives, legalities, and standards of practice surrounding opioid prescribing
- Policies and procedures included in best-practice compliance programs related to opioid prescribing
- Processes for practices in handling deficiencies in opioid prescribing, including ongoing assessment, and recent enforcement related to opioid prescribing

COMPLIANCE LAW

**503 Merger and Acquisition: Compliance Due Diligence**

*Tuesday, 10:00–11:00 AM*

ISAAC M WILLETT, Partner, Faegre Baker Daniels

STEVE LOKENSGARD, Partner, Faegre Baker Daniels

- This session will briefly review the federal sentencing guidelines and help a small company understand whether a compliance program is voluntary or mandatory for your company
- Understand options for implementing an effective compliance program in a small company, and that one size does not fit all
- Understand some of the minimum expectations a large company will have with respect to compliance when acquiring a small, startup company

HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

**504 In Defense of Data**

*Tuesday, 10:00–11:00 AM*

ALAN WILEMON, Director of Privacy, Modernizing Medicine

- Defending data: Consider how data is both highly-prioritized and disregarded in our society at the same time, and hear the call to action to defend data in the world of healthcare compliance
- Dubious data: Explore common fallacies related to data, as well as proven methods to spot outright deceptions
- Delivering data: Learn successful ways to deliver data in presentations and reports that make it both accessible to your audience, and still revealing of the truth

AUDITING & MONITORING

**505 Under a CIA, Poor Executive Judgment Led to CMP and a Second Whistleblower Lawsuit without Company Exclusion**

*Tuesday, 10:00–11:00 AM*

LEE B. EHRlichMAN, Chief Compliance Officer, Telemedicine Health

- Under a CIA we had a very strict and regularly monitored Compliance Program. As an IDTF there was much pressure to increase revenues which found us charged with False Claims
- Certain senior executives thought through the use of perceived loopholes they could escape the restrictions of law and the company compliance program
- Interfacing quickly with the OIG CIA Monitor after discovery of several reportable events resulted in penalties, a settlement but no Exclusion

POST-ACUTE CARE

**506 Best Practices for Compliance Investigations by Post-Acute Providers**

*Tuesday, 10:00–11:00 AM*

DONNA J. THIEL, Chief Compliance Officer, ProviderTrust

CHRISTOPHER C. PURI, Attorney, Bradley Arant Boult Cummings LLP

KELLY THOMAS, Chief Compliance and Ethics Officer, American Health Partners, Inc.

- Speakers will identify the best practices and common pitfalls that occur when a compliance issue rises to a level that requires an internal investigation, and review tools to ensure success in those efforts.
- The different uses, roles and perspectives of the compliance department, in-house counsel, outside counsel, and third party consultants will be discussed. How and when to involve each of the elements will be reviewed.
- Speakers will focus on how to make a legal analysis of the underlying law and regulations, and how emerging and evolving views on administrative law and rulemaking affect the analysis on regulatory violations, reporting and repayment.

ADVANCED COMPLIANCE

**507 TBA**

RISK MANAGEMENT

**508 Focusing Your Risk Assessment on Rehab**

Tuesday, 10:00–11:00 AM

YOLUNDA DOCKETT, Corporate Compliance Officer, Lorien Health Services

HOLLY HESTER, SVP, Compliance and Quality, Casamba

- Review the importance of completing a risk assessment for rehab services
- Identify and prioritize key risks associated with rehab service delivery
- Describe a sample mitigation plan for identified risk areas

INTERNAL AUDIT

**509 Speedy Compliance Internal Audits to Add to Your Plan**

Tuesday, 10:00–11:00 AM

NORMA HICKS, Sr. Internal Auditor, Piedmont Healthcare

HANK ADKISON, Sr. Internal Auditor, Piedmont Healthcare

- A discussion of several audits you can conduct in the short term that can have definite benefits from a compliance perspective
- Quick and clean audit programs that assess controls and evaluate risks
- Best/effective practices and lessons learned at our institution that can be modified and applied to your operation

BEHAVIORAL HEALTH

**510 Preparing and Responding to Internal and External Reviews**

Tuesday, 10:00–11:00 AM

KEN GRUBBS, AVP, Quality Standards, HCA Healthcare

KEVIN SZATHMARY, Director, Program Assessment, HCA Healthcare

MICHAEL HIGGINS, VP, Ethics & Compliance, HCA Healthcare

- Tips on how to effectively prepare for either an internal or external review
- How to overcome obstacles that arise while the review is taking place
- Effectively responding to findings and formulating corrective action plans

CASE STUDIES

**511 You've Been Hacked. Now What? A Case Study on Cybersecurity Preparedness**

Tuesday, 10:00–11:00 AM

LEEANN GARMS, CEO, Raleigh Neurology Associates, P.A.

DONNA NICHOLSON, VP Risk Management, Curi - A Medical Mutual Co.

RICHARD SHEINIS, Partner, Hall, Booth, Smith, and Slover

- Discuss how one large group specialty practice in the southeast addressed a potentially practice-debilitating cyberattack, including key successes and lessons learned
- Examine some of the most common vulnerabilities that practices face and may not be prioritizing as they consider privacy and security protocols
- Identify opportunities to build and/or enhance your organizations cybersecurity disaster plan

DISCUSSION GROUPS

*Discussion Groups are limited to 50 attendees and will be filled on a first-come, first-served basis. Pre-registration is not available for these sessions.*

**512 HIPAA from the Headlines: Is It a Breach?**

Tuesday, 10:00–11:00 AM

MARGARET SCAVOTTO, President, Management Performance Associates

SCOTT GIMA, COO, Management Performance Associates

- This interactive discussion consists of real-world examples of potential HIPAA breaches—and attendees will decide whether they rise to the level of a breach or not
- Together, we will debate HIPAA conundrums from the headlines, from our peers, and from around the water cooler, and ask: Is it a breach? If so, why? And how can we mitigate it? If not—how can we avoid these close calls?
- Lively discussion and differences in opinion are welcome! Attendees are encouraged to bring their own breach(s) stories

TELEHEALTH

**513 Remote Patient Monitoring Key Compliance Issues**

Tuesday, 10:00–11:00 AM

RICHELLE MARTING, Attorney, Forbes Law Group LLC

- Define remote patient monitoring and distinguish from traditional telemedicine
- Identify original rules for remote patient monitoring, and 2020 updates
- Describe key issues in remote patient monitoring compliance

GENERAL COMPLIANCE/HOT TOPICS

**514 Beyond Checking the Box: Best Practices for Compliance Training Design, Delivery, and Evaluation**

Tuesday, 10:00–11:00 AM

GREGORY S. RIDER, Director, Corporate Compliance, EmblemHealth

C.J. J. WOLF, Senior Compliance Executive, Healthicity

- Learn how a large healthcare entity has applied the principles of adult learning and technology to advance their training efforts
- Identify instructional design strategies and differences between in-person and online training approaches
- Explore methods for evaluating the effectiveness of training. \*Bonus: This session will utilize live interactive, anonymous polling to help you benchmark against peers

**11:00 – 11:30 AM Break**

11:30 AM–12:30 PM

## Breakout Sessions

### PRIVACY & SECURITY

#### 601 Are You Ready for 2024? It's the End of the Road for the ONC's 10 Year Interoperability Roadmap

*Tuesday, 11:30 AM–12:30 PM*

ANDY REEDER, Associate Vice President, HIPAA Privacy and Security, Rush Univ Medical Center

JANIS ANFOSSI, Senior Fellow in Residence, Loyola University Chicago School of Law

- Learn how recent federal regulations and evolving Medicare reimbursement rules support the increased sharing of patient information for population health and value-based payment models
- Understand the goals and expectations of the Federal Interoperability Roadmap as it incentivizes the development of Health Information Exchanges and identifies expectations for more fluid sharing of patient information between entities
- Become acquainted with the technical standards and techniques for securing exchanged information as well as integrating privacy and security requirements into your compliance program

### PHYSICIAN COMPLIANCE

#### 602 Medicare Wellness Visits with Additional Services on the Same Date of Service: A Compliant Revenue Opportunity

*Tuesday, 11:30 AM–12:30 PM*

SALLY A. STREIBER, Director of Provider Compliance, University Hospitals

- Learn the fundamentals of Medicare Wellness Visits to ensure correct documentation of these detailed visits. Realize greater efficiency by pairing a Medicare Wellness Visit and an established patient visit on the same date of service
- Get paid for the care you are already providing by incorporating appropriate additional billable services into Medicare Wellness Visit encounters
- Develop new revenue streams by utilizing Medicare Managed Care Plan billing rules including billing for Preventive Medicine Services

### COMPLIANCE LAW

#### 603 Managed Care Enforcement Trends and Compliance Risks

*Tuesday, 11:30 AM–12:30 PM*

JOHN KELLY, Member, Bass, Berry & Sims PLC

BENJAMIN D. SINGER, Partner, O'Melveny & Myers LLP

- Review enforcement trends against Medicare and Medicaid managed care organizations and providers
- Key risk areas and best practices for minimizing exposure will be discussed to help identify and remediate compliance concerns
- Explain how compliance deficiencies in managed care processes and risk adjustment data create exposure under the false

### HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

#### 604 The Art of Having a Strategic Advantage in Compliance Conflict Management

*Tuesday, 11:30 AM–12:30 PM*

DAWN N. PITTINGER, Research Consultant/ Senior Account Manager, Bio-Optronics, Inc.

KELLY M. WILLENBERG, Manager, Kelly Willenberg and Associates

- Determine self-awareness and recognize considerations that impact conflict/ negotiation styles in compliance activities while developing an awareness of what others are handling
- Identify strategic advantages to conflict management in professional settings while learning to utilize conflict resolution and negotiation blueprints
- Compare the different styles of conflict/ negotiation styles and their impact on the outcome

### AUDITING & MONITORING

#### 605 Auditing Ahead of the Auditors: A RAC, CERT, and TPE Prevention Program

*Tuesday, 11:30 AM–12:30 PM*

D. SCOTT JONES, Chief Compliance Officer, Augusta Health

- Audit ahead of the RAC, CERT, and TPE audits and denials! Learn how to develop a pre-audit program designed to identify risks and improve processes before denials take place
- CMS and their contractors send signals about their audit targets. Learn how to identify the next wave of audits before they appear
- Turn compliance into a revenue center. Successful preparation leads to successful RAC, CERT, and TPE audits and appeals that retain or regain lost revenue

### POST-ACUTE CARE

#### 606 Keys to Developing an Effective Hospice Compliance Program

*Tuesday, 11:30 AM–12:30 PM*

CAT C. ARMATO, Principal, Armato & Associates, LLC

BILL C. MUSICK, President, Integriti3D

- Review sources and latest guidance for hospice risk areas
- Important tips to conduct an effective risk assessment process
- Putting things together into a living compliance program appropriate for your organization specifically related to hospice

## ADVANCED COMPLIANCE

### 607 Survey Says... Now What? Maximize the Impact of Your Workplace Ethics Survey

*Tuesday, 11:30 AM–12:30 PM*

KAREN CARNEY, Regional Compliance Director, Baylor Scott & White Health

PHUONG D. NGUYEN, Regional Compliance Director, Baylor Scott & White Health

- With online surveys becoming the go-to measurement tool in healthcare for employee feedback on topics from satisfaction to safety to engagement and ethics, we'll address how to make the most of your workplace ethics survey
- Outline the need for a workplace ethics survey and explain the life cycle of this survey from creation, implementation, results interpretation, action on identified items, and then repeat
- Address survey fatigue in healthcare institutions where employees receive surveys on a variety of topics throughout the year

## RISK MANAGEMENT

### 608 Enterprise and Fraud Risk Assessments: Tips on Making Them Comprehensive Yet Concise

*Tuesday, 11:30 AM–12:30 PM*

MONICA FRAZER, Chief Audit Executive, Baylor Scott & White

- Learn how to effectively conduct and enterprise risk assessment for your organization
- See how to design a concise presentation to give to your Audit Committee
- Learn a technique/approach to conduct a separate fraud risk assessment

## INTERNAL AUDIT

### 609 Auditing the Trifecta: Compliance, Privacy, and Security

*Tuesday, 11:30 AM–12:30 PM*

DEBI WEATHERFORD, Executive Director Internal Audit, Piedmont Healthcare

DEBRA A. MUSCIO, SVP, Chief Audit, ERM, Privacy, Security, Ethics & Compliance Officer, Community Medical Centers

- Rapid expansion and overlapping responsibilities in the trifecta of compliance, privacy, and security have impacted the risks and opportunities for collaboration. These area leaders will discuss risks that have an overarching role
- Provide updates on key regulations affecting the trifecta areas. Review key trends and risks associated with the areas and expanded opportunities for collaboration
- Discuss strategies for monitoring/auditing and effective governance and control processes. Evaluate self-monitoring and audit programs and strategies to perform self-reviews or independent audits

## BEHAVIORAL HEALTH

### 610 War Stories: Compliance Calls that the CCO and Legal Counsel Got, that Deep Breathing Moment, and What Happened Next

*Tuesday, 11:30 AM–12:30 PM*

ALEAH SCHUTZE, Of Counsel, Steptoe & Johnson PLLC

DEENA G. OMBRES, Chief Compliance Officer, Springstone, Inc.

- Discussion of more interesting/complex compliance issues that speakers have faced in behavioral health field, including government investigations, HIPAA/Part 2 Privacy issues and billing issues
- Explanation of how those issues were handled, including investigations and the different approaches to resolving those issues, with an eye to preventing future similar issues
- Provide background on what happened afterward, tips & pointers for preparing for those sticky situations, and solicit/encourage discussion with attendees on their own "war stories"

## CASE STUDIES

### 611 to We Cannot Make This Stuff Up: Tales of Non-compliance and Risk Management

*Tuesday, 11:30 AM–12:30 PM*

MARGARET PISACANO, Director of Risk Management, University of Kentucky, UK HealthCare

REGINA K. ALEXANDER, Senior Consultant & Director, IRO Services, VantagePoint Healthcare Advisors

- Through a series of sometimes extreme and other times humorous stories of good employees making poor decisions, costly errors, near misses, squabbles, and scandals, the presenters will discuss a variety of "hot" healthcare regulatory compliance and risk management challenges, as well as some new twists on some perennial favorites
- Risk mitigation strategies associated with the cases discussed, practical tips for surviving and thriving in a busy healthcare environment, and lessons learned will be shared with attendees
- Presenters will interact with the attendees and solicit input and questions to provide attendees with takeaways they can apply to their own tactical response to compliance and risk management challenges in their own organizations

## DISCUSSION GROUPS

*Discussion Groups are limited to 50 attendees and will be filled on a first-come, first-served basis. Pre-registration is not available for these sessions.*

### 612 Frankly Speaking: Unplugged Views on Dealing with Vexing Compliance Challenges

*Tuesday, 11:30 AM–12:30 PM*

FRANK E. SHEEDER, Partner, Alston & Bird LLP

- Candid observations about tough challenges for compliance professionals
- What works and what doesn't as a compliance professional
- How to deal with those who don't embrace compliance

TELEHEALTH

**613 A Multidisciplinary Team Approach to Operationalizing Telemedicine**

*Tuesday, 11:30 AM–12:30 PM*

KELLY BAUGH, Senior Counsel, Children’s National Medical Center

NOLEN MORTON, Compliance Specialist, Children’s National Hospital

LISA ADKINS, Vice President Compliance/Chief Compliance Officer, Children’s National Health

- Describe the multidisciplinary approach to telemedicine program implementation at Children’s National. This approach is aimed at enabling programmatic success and effective care delivery while ensuring compliance with varying telemedicine regulations
- Demonstrate the effectiveness of a checklist-based method for operationalizing telemedicine internationally and in multiple US jurisdictions. The approach is designed to ensure a full understanding of relevant regulations and facilitate contract review
- Describe the planned next steps in the growth of the telemedicine program at Children’s National in the context of the current regulatory landscape and the growing need for telemedicine services in new contexts and across specialties

GENERAL COMPLIANCE/HOT TOPICS

**614 Controlled Substance Diversion Prevention Programs: What Should You Do?**

*Tuesday, 11:30 AM–12:30 PM*

AMY SALA, Drug Diversion Specialist, University Hospitals

EDWARD L. SOYKA, Manager - Hospital Compliance, University Hospitals

- Creating and maintaining a Drug Diversion Task Force, implementation of a response system, and tracking and trending drug diversions throughout a hospital system
- Creating best practice in maintaining a reactive and proactive controlled substance diversion prevention program in a multi-disciplinary healthcare system
- Cultivating a “Speak Up” environment that prevents drug diversion and identifies resources for employees that require treatment for substance abuse

GENERAL COMPLIANCE/HOT TOPICS

**615 Exclusion Hypotheticals, Hyperbole, and Healthy Governance**

*Tuesday, 11:30 AM–12:30 PM*

DAVID M. BLANK, Partner, Quarles & Brady LLP

MICHAEL ROSEN, Co-Founder, ProviderTrust, Inc

- In this session, we will review OIG statistics, important cases of exclusion or CMP enforcement and any new regulations affecting exclusion screening
- Learn best practices that will help your organization avoid civil monetary penalties
- Bring your own examples and ask the experts about specific scenarios

**12:30 – 1:15 PM**

**Lunch**

**1:15 – 2:00 PM**

**Dessert with Exhibitors**

**2:00 – 3:00 PM**

**Breakout Sessions**

PRIVACY & SECURITY

**701 Privacy Readiness: Do You Know Where Your PHI Lives with Medical Device Companies**

*Tuesday, 2:00–3:00 PM*

KAY KAY CHAN, Director, PricewaterhouseCoopers

ALISON BRUNELLE, Director, PwC

JASON VENDEL, Senior Manager, Compliance Investigations & Global Privacy, Arthrex, Inc.

- Privacy regulations and enforcement continue to rise. With the new California Consumer Privacy Act (CCPA), General Data Protection Regulation (GDPR), and HIPAA, scrutiny is placed on entities to create effective privacy programs
- This session will discuss a real life case study with a client who is a downstream privacy business associate of healthcare systems and provider groups
- Do you know what happens to the data? How do medical devices develop controls to support downstream HIPAA compliant regulations? What privacy efforts are put in place when medical devices are operating across different countries?

PHYSICIAN COMPLIANCE

**702 CMS’ Comparative Billing Reports: Compliance Tools for Physicians and Other Outpatient Providers**

*Tuesday, 2:00–3:00 PM*

ANNIE BARNABY, Outreach/Education Specialist, RELI Group

KIMBERLY M. HREHOR, Program Director, RELI Group

- Learn about CMS’ CBR program, which supports efforts to educate providers and protect the Medicare Trust Fund
- CBRs summarize Medicare Part B claims data for a provider, comparing their statistics to national data
- CMS produces CBRs for a variety of topics that are vulnerable for improper Medicare payments

COMPLIANCE LAW

**703 Medical Necessity & The False Claims Act: An Ever-Moving Target**

*Tuesday, 2:00–3:00 PM*

CHRISTOPHER A. MELTON, Partner, Wyatt, Tarrant & Combs LLP

ROSALIND CORDINI, Senior Vice President/Director of Coding & Compliance Services, Coker Group

- The current way the False Claims Act is being used in litigation, with a focus on whether a doctor’s certification that a procedure is reasonable and necessary matches up with the government’s definition of the phrase
- Understand current False Claims Act case law for what must be alleged in order to deem medical necessity of a procedure/service false, and learn from examples of recent FCA cases that are being filed
- Gain insight on various court decisions regarding the government’s burden in these cases, along with tangible advice on how to make sure that medical necessity is justified

HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

**704 Compliance and the Board: Challenges and Best Practices**

*Tuesday, 2:00–3:00 PM*

BRIAN D. ANNULIS, Senior Managing Director, Ankura Consulting Group

- Challenges to effective Board engagement
- Communicating with the Board: Education and reporting
- Continuing improvement: Further developing the compliance-Board connection

AUDITING & MONITORING

**705 Designing a Drug Inventory Monitoring Workplan**

*Tuesday, 2:00–3:00 PM*

JULIA RUSSELL, Associate Director, Protiviti

MICHELLE SEEGER, Protiviti

KIM PARDINI-KIELY, Associate Director, Protiviti

- Key controls to monitor—Identifies the regulatory and practice controls to include in a monitoring and auditing workplan and the methods by which your staff will validate compliance. Incorporates proactive controls and strategies for change management
- Common findings—Provides you the takeaway of prevalent and predictive findings to help your organization design the most effective program for your setting. Learn how to establish, calibrate your control measurements, and demonstrate progress
- Diversion where you least expect it—You won't want to forget to include these surprisingly easy to target areas of diversion. Includes opportunities for prevention and detection at your site(s)

POST-ACUTE CARE

**706 Using ADRs and Denials to Enhance Your Post-Acute Compliance Workplan**

*Tuesday, 2:00–3:00 PM*

KELLY MURRAY, Quality/Compliance Officer, Bella Care Hospice

SONU KUMAR, Director of Compliance and Compliance Officer, Renewal Rehab, LLC,

- Top denials for hospice and SNF/LTC and how to avoid them
- Describe the process of the Targeted Probe and Educate (TPE)
- Integrate ADR and denial metrics into your compliance workplan

ADVANCED COMPLIANCE

**707 60-Day Repayment Rule: Discussion of Examples, Sampling Methods, and Strategies**

*Tuesday, 2:00–3:00 PM*

TRACY M. FIELD, Partner, Parker Hudson Rainer & Dobbs LLP

- Developments in 60 day rule process—including new statistical sampling guidelines
- Review of hypotheticals for which no voluntary repayment indicated
- Review of hypotheticals, including sampling analysis/consideration where voluntary refund may be appropriate

RISK MANAGEMENT

**708 How to Understand Information Security Risk for the Non-IT Professional**

*Tuesday, 2:00–3:00 PM*

KEN SATKUNAM, President, DueNorth Secure Healthcare

MARK SCHLADER, Director of Consulting Services, DueNorth Secure Healthcare

DEANNA ALLEN, Compliance, Privacy & Security Officer, Iverson Memorial Hospital

- Understand how to prioritize information security risks that have been identified through a risk analysis
- Learn how to work cohesively with IT to develop a risk management plan that everyone can understand, accept, and realistically accomplish
- Learn how to more effectively communicate information security risk and HIPAA security rule compliance to executive management and board members

INTERNAL AUDIT

**709 Auditing Compliance Effectiveness through a Lean Lens**

*Tuesday, 2:00–3:00 PM*

LAQUENTA CLARKE, Corporate Compliance Education Manager, Shriners Hospitals for Children International Headquarters

- Establish two methodologies for developing an audit program for a compliance effectiveness review. Attendees will review programs for a well-established compliance program and a compliance program in its infancy stages
- Discuss the requirements for an effective compliance program and provide best practices for mitigating key risks in high areas of vulnerability based upon the compliance program's maturity level
- Provide practical advice and tangible resources for incorporating lean methodologies into your compliance program practices

BEHAVIORAL HEALTH

**710 Community Mental Health Centers: An Insider's View for an Effective Compliance Program**

*Tuesday, 2:00–3:00 PM*

KAREN MOONEY, Risk and Compliance Officer, AllHealth Network

C.J. J. WOLF, Senior Compliance Executive, Healthicity

- Learn how a compliance program at a community mental health center (CMHC) works including a discussion of unique challenges and aspects of a CMHC. Hear from your peers through the live interactive polling feature during this session
- Learn about the necessary structure to drive efficiency and timely gathering/processing of information specific to CMHCs
- Discover how software functionality has provided support for the needed compliance structure and see how software tools can improve your CMHC's compliance program effectiveness

## CASE STUDIES

### 711 Striving for Accurate, Complete, and Compliant Provider Documentation in the Risk Adjustment Arena

Tuesday, 2:00–3:00 PM

JANINE DEVINE, Manager, Health Industries Advisory, PricewaterhouseCoopers Advisory Services LLC

CHANDRA STEPHENSON, Senior Associate - Consultant, PwC

- With CMS' proposed expansion of RADV extrapolation methodologies, accurate and specific clinical documentation and diagnosis code assignment and operational process alignment with CMS guidance will be necessary for a compliant risk adjustment program
- This session will employ case scenarios to illustrate potential risk adjustment clinical documentation and coding compliance risks and mitigation strategies from a RADV coding and documentation perspective
- Topics will include clinical documentation gray areas, auditing and monitoring plans, policies, procedures, provider query compliance, education plans, and development of escalation processes to ensure accurate and complete diagnostic codes are reported

## DISCUSSION GROUPS

*Discussion Groups are limited to 50 attendees and will be filled on a first-come, first-served basis. Pre-registration is not available for these sessions.*

### 712 Putting the Seven Elements of a Compliance Program into Practice

Tuesday, 2:00–3:00 PM

CRYSTAL MINER, Consultant, C Business Services

- Discuss types of audits and internal monitoring activities attendees currently engage in
- Group think to discover best method for creating a compliance committee when departments have competing agendas
- Review ways to create a "safe" culture for discussing compliance concerns

## TELEHEALTH

### 713 Telemedicine in Behavioral Health: Achieving Compliance within an Emerging Healthcare Delivery Model

Tuesday, 2:00–3:00 PM

LIZ WILSON, Corporate Compliance and Privacy Officer, Endeavor Health Services, Inc.

- Privacy—How the compliance professional or team is key in managing policies, procedures, agreements, and consents that protect patient privacy without creating barriers to behavioral healthcare access
- Security—How performing risk assessments, implementing authentication methods, and developing strategies to assure data integrity and continuity are critical to preventing breaches
- Quality—How current day factors in behavioral health create both challenges and opportunities for delivering effective healthcare remotely, and which tables compliance should be invited to prior to implementation and execution

## GENERAL COMPLIANCE/HOT TOPICS

### 714 We Share the Same Goal: Compliance and DEA Partnering in the Opioid Crisis

Tuesday, 2:00–3:00 PM

BRIAN GLAUDEL, DEA

DAWNESE KINDELT, Founding Partner, Hambleton Compliance, LLC

- Leveraging real experiences, outline how organizations can respond quickly with the DEA identifies risk by mobilizing a multi-disciplinary team
- Describe the DEA's perspective on investigations and insight on how to review different types of registrants which can be applied to your routine monitoring plans
- Review readily available materials prepared by the DEA and understand reporting requirements and share best practices you can implement in your organization

## GENERAL COMPLIANCE/HOT TOPICS

### 715 The Impact of the CMS Hospital Co-Location Guidance

Tuesday, 2:00–3:00 PM

LISA A. TAYLOR, Vice President and Chief Compliance Officer, UC Health

ERICA ADAMS, Director, Compliance & Business Ethics, UC Health

- Dissecting the CMS hospital co-location guidance
- Shared space arrangements and the potential impact on provider-based status
- Shared space arrangements and the potential impact on compliance with the hospital Conditions of Participation (CoP)

3:00–3:20 PM

Break

3:20–3:30 PM

Closing Remarks

3:30–4:30 PM

## General Session

### GS5 Artificial Intelligence Compliance Risks and Applications in Healthcare

Moderator: VINCENT WALDEN, CFE, CPA, Managing Director, Alvarez & Marsal Disputes and Investigations LLC

CARYL N. BRZYMIALKIEWICZ, PhD, Chief Data and Analytics Officer, HHS OIG

JIM PASSEY, MPH, FACHE, CHC, CHPC, Vice President, Chief Audit & Compliance Officer, HonorHealth

- Improving Compliance: Demystifying artificial intelligence (AI) into practical compliance monitoring applications
- Managing Risk: Avoiding unintentional biases and outcomes with AI initiatives within your organization
- Case Examples: Regulatory and organizational uses of advanced data analytical techniques, including AI

# Wednesday, April 1

7:00 AM – 12:15 PM

Conference Registration

7:15 – 8:15 AM

## Rooster Sessions

GENERAL COMPLIANCE/HOT TOPICS

### RS4 Think Big. Think Small. Managing Conflict of Interest

Wednesday, 7:15–8:15 AM

NATHAN PERUMAL, Compliance Officer,  
Sutter Health

- How do you manage potential COI for your organization? A discussion
- How to identify various potential COI scenarios for your organization? And for yourself?
- A roadmap to managing COI. Keep it simple for starters

GENERAL COMPLIANCE/HOT TOPICS

### RS5 Compliance Essentials: Internal Investigations and Self-Disclosures

Wednesday, 7:15–8:15 AM

LEIA C. OLSEN, Practice Area Lead Attorney -  
Compliance, Ascension Health

TAMAR TERZIAN, Senior Counsel, OIG/HHS

LORI A. WINK, Attorney, Hall Render Killian  
Heath & Lyman P.C.

- Understand the legal and practical considerations when deciding how and when to report compliance issues
- Learn the anatomy of a compliance investigation, including when to perform an audit, the goals of the review, the steps in conducting the investigation, corrective action and follow-up
- Identify key strategies for successfully coordinating investigations between the compliance department, in-house legal department, consultants and outside counsel

8:30 – 10:00 AM

## Post-Conference Breakout Sessions

PRIVACY & SECURITY

### W1 Privacy Officer Round Table: Part 1

Wednesday, 8:30–10:00 AM

ADAM GREENE, Partner, Davis Wright  
Tremaine, LLP

JOAN M. PODLESKI, Chief Privacy Officer,  
Children's Health

MARTI ARVIN, Executive Advisor,  
CynergisTek, Inc.

- Highly interactive discussion of the most challenging privacy issues facing privacy officers and other privacy counsel and staff
- Audience members will select the privacy issues they would like to discuss, and will have the opportunity to learn from each other's experience
- Obtain practical solutions from experts and peers on some of the toughest day-to-day health information privacy challenges

PHYSICIAN COMPLIANCE

### W2 Uncovering Hidden Pitfalls in Medical Director Contracts

Wednesday, 8:30–10:00 AM

ALLISON PULLINS, Chief Marketing Officer,  
MD Ranger Inc

JOSEPH A. PICCOLO, VP Corporate  
Compliance, Inspira Health

BRIAN S. COLONNA, Manager of Compliance  
and Privacy, Renown Health

- Every hospital no matter the size has medical directorship agreements that contain numerous compliance risks, which may not be obvious to even rigorous compliance teams
- Learn best practices to mitigate risks lurking in directorships such as commercially unreasonable agreements, stacking, "shopping" for rates, and more
- Don't reinvent the wheel – understand how to best integrate best practice approaches to medical director contract compliance with your existing compliance and contracting program. No policy for medical directorships? Create one using simple guidelines

COMPLIANCE LAW

### W3 Government Enforcement

Wednesday, 8:30–10:00 AM

KIRK OGROSKY, Partner, Arnold & Porter LLP

BRANDON MOSS, Attorney, Wiley Rein

AMANDA P. STRACHAN, Chief, Health Care  
Fraud Unit, United States Attorney's Office

MIRANDA HOOKER, Partner,  
Pepper Hamilton

- Learn what investigative tools are used by DOJ and HHS-OIG to initiate different types of investigations
- Best practices for addressing governmental investigations from hold notices, document collection and production, internal investigations, and resolution
- Understand the obligations of legal and compliance officers throughout an investigation

HOW TO SUCCEED AS A  
COMPLIANCE PROFESSIONAL

### W4 Surviving as a Compliance Officer Wearing Multiple Hats

Wednesday, 8:30–10:00 AM

LISA L. BOSTON, Director of Compliance,  
Quorum Health Resources

- Per OIG Guidance, compliance programs should be scalable to the entity's size, but wearing multiple hats can be successful
- Different role scenarios and how to mitigate conflict of role
- Building relationships to make it work

AUDITING & MONITORING

### W5 Medical Directorships: Just an Agreement and Logs are Not Enough

Wednesday, 8:30–10:00 AM

MARK NEU, Compliance and Privacy Officer,  
Prospect Medical Holdings, LLC

- Understand the compliance requirements of a Medical Directorship—What is at stake for the duties that are provided for in the agreement and also the duties that are logged
- How to educate the various stakeholders—Includes the physician director, hospital leadership, and the various hospital staff who review and approve medical directorship logs
- Auditing and monitoring physician payments—Developing a process for monthly review prior to payment as well as a periodic review of all payments to physicians

## POST-ACUTE CARE

### W6 Long-Term Care Compliance Risk Assessment: Key to Crafting Your Customized Compliance Program

*Wednesday, 8:30–10:00 AM*

JOHN D. DAILEY, President, MAI

SARAH M. COUTURE, Senior Director, Ankura Consulting Group

- Foundations of an effective compliance program—Why risk assessment is essential
- Collaborative compliance risk assessment—Leveraging operations expertise and partnership to accurately assess risk
- Next steps—Developing your compliance work plan based on assessed risks

## ADVANCED COMPLIANCE

### W7 Forecast—Cloudy with a Chance of Crisis: Do You Have Your Compliance Umbrella Ready?

*Wednesday, 8:30–10:00 AM*

SUZIE DRAPER, VP Business Ethics & Compliance, Intermountain Healthcare

JAMES GREEN, Director, Risk Advisory Services, SAI Global

- Does compliance have a seat on your organizations crisis management team? Explore how a crisis that doesn't start out as a compliance crisis may evolve into one and how compliance's role is critical in preparedness and response
- Determine best practices for developing a crisis communication plan including the key personnel involved, the critical items to include, and the method of implementation of the plan
- Discover lessons learned and real-life crisis communication examples

## RISK MANAGEMENT

### W8 Hospital-Physician Employment: Myths, Pitfalls, and Opportunities

*Wednesday, 8:30–10:00 AM*

GADI WEINREICH, Partner, DENTONS US LLP

- Undertake in-depth examination of direct and indirect hospital-physician employment arrangements, with objective of correcting misconceptions (i.e., law versus lore) and identifying critical opportunities for enhancing flexibility and competitiveness
- Explore the ins and outs of the key standards governing physician compensation, including testing true boundaries of fair market value, not taking into account volume/value of referrals, set in advance, commercial reasonableness, and the like
- Understand critical enforcement cases, such as Tuomey and Halifax, and the erroneous jurisprudence that has emerged from them

## GENERAL COMPLIANCE/HOT TOPICS

### W9 Hot Topics in Retail Pharmacy Compliance

*Wednesday, 8:30–10:00 AM*

DANIEL P. FITZGERALD, Senior Counsel, Commercial Litigation Dept. , Walgreen Co.

SELINA COLEMAN, Partner, Reed Smith LLP

- Compliance strategies related to scrutiny of pharmacy rewards, government audit initiatives, and other hot topics in pharmacy law
- Discount card programs in the wake of lawsuits alleging that club prices are the usual and customary charges that should have been passed on to payers
- Practical implications of post-Escobar cases on potential allegations of False Claims Act violations in the retail pharmacy environment

## GENERAL COMPLIANCE/HOT TOPICS

### W10 Appropriate Use Criteria: Are You Ready?

*Wednesday, 8:30–10:00 AM*

LESLIE BOLES, Compliance Audit Manager, Saint Peter's Healthcare System

- Provide an overview of appropriate use criteria (AUC)
- How AUC impacts Revenue Integrity and Compliance
- Strategies to guide providers on how to prepare for its implementation

10:00 – 10:30 AM

Break

10:30 AM – 12:00 PM

## Post-Conference Breakout Sessions

## PRIVACY & SECURITY

### W11 Privacy Officer Round Table: Part 2

*Wednesday, 10:30 AM–12:00 PM*

ADAM GREENE, Partner, Davis Wright Tremaine, LLP

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

MARTI ARVIN, Executive Advisor, CynergisTek, Inc.

- Highly interactive discussion of the most challenging privacy issues facing privacy officers and other privacy counsel and staff
- Audience members will select the privacy issues they would like to discuss, and will have the opportunity to learn from each other's experience
- Obtain practical solutions from experts and peers on some of the toughest day-to-day health information privacy challenges

PHYSICIAN COMPLIANCE

**W12 What Is the Maximum Permissible Dose of Morphine—or Fentanyl? A Question of Physician Compliance and Quality of Care**

*Wednesday, 10:30 AM–12:00 PM*

BRADFORD D. WINTERS, Ph.D., M.D., Johns Hopkins University School of Medicine

DAVID N. HOFFMAN, Chief Compliance Officer, Carthage Area Hospital

- The indictment of an Ohio physician on 25 homicide charges highlights the responsibility of compliance officers to monitor excess doses of pain medications that can produce life limiting levels of respiratory depression
- Hospital medication dispersal control systems set limits on the amount of medication that can be withdrawn to be administered to a patient, but they also necessarily allow for overrides to respond to clinical circumstance and physician decision-making
- This session will present the clinical and pharmacologic knowledge compliance officers need to establish the proper level of hospital auditing needed to insure that patients are getting all the pain medication they need, and nothing more

COMPLIANCE LAW

**W13 The 340B Program: Perspectives on How to Promote Compliance in Your Covered Entity**

*Wednesday, 10:30 AM–12:00 PM*

SUE VEER, President and CEO, Carolina Health Centers, Inc.

MICHAEL B. GLOMB, Partner, Feldesman Tucker Leifer Fidell LLP

COLEY DEAL, 340B Program Manager, Bon Secours Mercy Health System, Inc.

- A CEO, a 340B program manager, a compliance officer, and an attorney will discuss how to address the Real-World compliance issues in the hospital and FQHC settings, including, applying the 340B patient definition across the continuum of care
- Responding to challenges in dispensing 340B drugs to Medicaid beneficiaries and in managed care in particular
- Monitoring for compliance, be ready for an audit 24/7, responding to new developments and OPA and other regulatory initiatives including current “hot topics”

HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

**W14 Scouting, Selling, & Succeeding: How Compliance Officers Should Approach Their Next Job Search (aka, Don’t Be Afraid to Switch Industries or Roles)**

*Wednesday, 10:30 AM–12:00 PM*

SCOTT K. INTNER, Chief Compliance Officer, GW Medical Faculty Associates

WALTER E. JOHNSON, Senior Advisor, Compliance Strategists LLC; SCCE & HCCA Second Vice President

- Scouting: Broaden your horizons
- Selling: Emphasize universal skills, not roles
- Succeeding: Apply established practices to new terrain

AUDITING & MONITORING

**W15 Controlling Physical, Electronic, and Patient Access by Non-Employees**

*Wednesday, 10:30 AM–12:00 PM*

MARISSA C. MAKEY, NEA Program Manager, Mayo Clinic

AMY V. BOYER, Compliance Officer, Mayo Clinic

- Provide framework to oversee the access and expectations of non-employees throughout all phases of their engagement
- Articulate risk criteria to mitigate risk to physical, electronic, and patient access while promoting collaboration and innovation
- Demonstrated successes to improve efficiency and reduce risk in a highly collaborative environment while at the same time enforcing visitor access policies and practices

POST-ACUTE CARE

**W16 SNF Compliance on a Quarter: Leveraging Your Resources to Implement an Effective Audit Plan**

*Wednesday, 10:30 AM–12:00 PM*

BETHANNE VANDERMOLEN, Chief Compliance Officer/Director of Risk Management, Choice Health Management Services, LLC

YOLUNDA G. DOCKETT, Corporate Compliance Officer, Lorien Health Services

- Identify the key risk areas within the skilled nursing environment
- Be able to develop a SNF audit and monitoring work plan
- Understand how to effectively implement an audit and monitoring work plan using available resources

ADVANCED COMPLIANCE

**W17 Selling and Buying the Brooklyn Bridge or Leasing the Battery Tunnel: The M&A Deal is Done, Now What?**

*Wednesday, 10:30 AM–12:00 PM*

DANIEL MEIER, Healthcare Regulatory and Transactional Attorney, Benesch Friedlander Coplan & Aronoff

REGINA F. GURVICH, VP, CCO, OMNI Ophthalmic Management Consultants

- Building mitigation controls for regulatory, licensing, HIPAA, health benefits, and employment considerations within a private equity-backed environment for a multi-specialty / multi-state practice
- Onboarding acquisition—Compliance, risk management, and credentialing: minimum necessary vs. best business practices. HIPAA structuring options, including ACEs, OHCAs, BAAs, and plain common ownership
- A Compliance / Privacy Officer’s playbook: Creating action plans for a robust compliance program and a scalable HIPAA compliance program fitting for growth and future acquisitions and translating due-diligence findings into concrete plans by recognizing risks and prioritizing vulnerabilities

## RISK MANAGEMENT

### W18 Mitigating Risks: A Primer on Understanding Government Contracting Compliance

Wednesday, 10:30 AM–12:00 PM

NOAH LEIDEN, Partner, Baker Tilly

- Healthcare organizations looking for revenue growth frequently pursue federal contracts as either a prime or subcontractor for sources of new revenue. These contracts contain unique and sometimes burdensome compliance requirements contractors must follow
- While healthcare organizations understand the requirements of 42 CFR, federal contracts include further provisions and clauses required by 48 CFR. Organizations must recognize the related administrative challenges and the financial and compliance risks
- It is critical for organizations to understand, develop and maintain internal controls and systems necessary to mitigate risks associated with federal contract provisions. By not doing so, an organization's exposure to risk may outweigh expected benefits

## GENERAL COMPLIANCE/HOT TOPICS

### W19 Discrimination on the Basis of Sex: What It Means Today and How We Got Here

Wednesday, 10:30 AM–12:00 PM

SARAH HESLER, Language Services Coordinator, UK Healthcare

CATHERINE I. MASOUD, Compliance and Privacy Manager for External Affairs, Univ of KY, UK HealthCare

LANCE POSTON, Executive Director, University of Kentucky

- Review recent updates to Section 1557 of the Affordable Care Act and discuss inclusive possibilities as well as challenges of operationalizing federal conscious and anti-discrimination laws when caring for transgender patients
- Using the framework of a case study, share lessons learned and provide practical tips for complying with current laws, situating this case study in the framework of best national practice for providing safe, inclusive, and compliant patient-centered care
- Understand applicable laws and regulations; explore impact on policy and practice related to gender identity, religious beliefs, language barriers, and different abilities

## GENERAL COMPLIANCE/HOT TOPICS

### W20 Health System Transformation, Fraud and Abuse Regulation, and Value-Based Purchasing: Rethinking Boundaries

Wednesday, 10:30 AM–12:00 PM

JANE HYATT THORPE, Associate Professor, George Washington University

ELIZABETH A. GRAY, Research Scientist, The George Washington University Milken Institute School of Public Health

- Understand opportunities and challenges posed by the current legal framework governing healthcare fraud and abuse as applied to new delivery and payment models that rely on financial incentives to encourage cross-sector collaboration and integration
- Explore recent proposed changes to the existing healthcare fraud and abuse legal framework and evaluate whether and how these changes would better align the framework to the goals of a value-based payment system
- Identify themes and trends across proposed changes to the fraud and abuse framework and discover the next steps for compliance professionals navigating an uncertain environment

1:00 PM

Check-in for Certification Exams

1:15–4:45 PM

CHC, CHPC, and CHRC Exams

(optional)

# Volunteer Project

Saturday, March 28, 1:00–3:00 PM  
Gaylord Opryland Nashville

In addition to networking with your peers and learning from expert speakers, attending HCCA's Compliance Institute gives you the opportunity to make a difference in the Nashville community.

HCCA will be hosting a PaintFest to benefit the Foundation for Hospital Art. Volunteers will team up to complete a multi-canvas painting. All murals will be donated to a Nashville-area hospital. The painting is set up through a color code technique and **NO ARTISTIC EXPERIENCE** is necessary.

It's a great opportunity to meet your fellow conference attendees, speakers, and HCCA board members. So remember the Volunteer Project when booking your travel, and be sure to select the Volunteer Project option on your conference registration form or when you register online. Space is limited, so register early to secure your spot.

Foundation for



Hospital Art®  
*painting a brighter world*

**OUR MISSION:** To give comfort and hope to those who suffer in hospitals by providing artwork at no cost to hospitals.

**OUR VISION:** Hospitals throughout the world will become beautiful places for healing.

**OUR QUEST:** To donate at least one painting to every hospital on Earth.

The Foundation for Hospital Art was officially established in 1984 and is dedicated to involving patients and volunteers worldwide to create colorful, soothing artwork donated to hospitals to help soften the often stressful hospital experience. For over 30 years since then, FFHA has been placing murals in hospitals and medical facilities across the globe. The Foundation for Hospital Art has donated 44,000 paintings to more than 4,000 health care facilities located in 195 different countries. More than one million volunteers have helped to make this possible.

## Interested in exhibiting, sponsoring, or advertising at the Compliance Institute?

Gain brand visibility  
with 3,000+  
healthcare compliance  
professionals

Promote your  
services or solutions  
to a highly-targeted  
audience

Develop relationships  
with new prospects  
and strengthen  
existing ties

**For more information, visit**  
[hcca-info.org/2020CI-exhibit](http://hcca-info.org/2020CI-exhibit)

*Questions? Email Lori Dahmes at [lori.dahmes@corporatecompliance.org](mailto:lori.dahmes@corporatecompliance.org)*

**Exhibit dates: March 29–31, 2020**

# Compliance Institute

March 29—April 1, 2020 • Gaylord Opryland • Nashville, TN

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

## Dietary Needs Request

Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan

Other \_\_\_\_\_

## Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at [hcca-info.org/2020CI](http://hcca-info.org/2020CI).

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

By participating in an HCCA conference, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.

## Registration Fees

|  | through<br>3/1/20 | after<br>3/1/20 |
|--|-------------------|-----------------|
| <input type="checkbox"/> Member (Monday & Tuesday)             | \$1,249           | \$1,299         |
| <input type="checkbox"/> Non-Member (Monday & Tuesday)         | \$1,549           | \$1,599         |
| <input type="checkbox"/> Registration + First-Time Membership* | \$1,469           | \$1,519         |
| <input type="checkbox"/> Pre-Conference (Sunday Morning)       | \$175             | \$195           |
| <input type="checkbox"/> Pre-Conference (Sunday Afternoon)     | \$175             | \$195           |
| <input type="checkbox"/> Post-Conference (Wednesday)           | \$175             | \$195           |
| <input type="checkbox"/> Group Discount for 5–9 Attendees      | (\$100)           | (\$100)         |
| <input type="checkbox"/> Group Discount for 10 or More         | (\$150)           | (\$150)         |

\*Save by joining today (first-time members only). Dues renew at \$325.

TOTAL \$ \_\_\_\_\_

## Session Selections

Visit [hcca-info.org/2020CI](http://hcca-info.org/2020CI) to choose your sessions, update your conference badge, and see our on-site attendee networking opportunities. If you do not select your sessions online, please write them in the form below. Your selections will be used to assist us in planning. You are not obligated to attend the sessions you select. Session selection is not available for discussion groups.

| SUNDAY   | MONDAY   | TUESDAY  | WEDNESDAY |
|----------|----------|----------|-----------|
| 8:30 AM  | 10:00 AM | 7:00 AM  | 7:15 AM   |
| 10:30 AM | 11:30 PM | 10:00 AM | 8:30 AM   |
| 1:30 PM  | 1:45 PM  | 11:30 AM | 10:30 AM  |
| 3:30 PM  | 3:15 PM  | 2:00 PM  |           |

## Volunteer Project • Saturday, March 28, 1:00–3:00 PM

Sign me up

## Payment

Online registration at [hcca-info.org/2020CI](http://hcca-info.org/2020CI)

Mail this form to HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358

Fax this form to 952.988.0146

Email this form to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

- Invoice me  
 Check enclosed (payable to HCCA)  
 Wire transfer requested  
 Credit card: I authorize HCCA to charge my:  
 Visa  MasterCard  Discover  American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

# Details

Compliance Institute • March 29—April 1, 2020 • Gaylord Opryland • Nashville, TN

## Hotel & conference location

All HCCA conference events will take place at:

Gaylord Opryland Nashville  
2800 Opryland Drive  
Nashville, TN 37214

**To view availability and HCCA group rates please visit:** [hcca-info.org/2020CI-hotel](http://hcca-info.org/2020CI-hotel)

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this document. If you have concerns or questions, please contact us at 888.580.8373.

## Continuing Education

HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). To see the most up-to-date CEU information go to HCCA's website, [hcca-info.org/all-conferences-home-page](http://hcca-info.org/all-conferences-home-page). Select your conference, and then select the "Continuing Education" option on the left-hand menu.

### **Compliance Certification Board (CCB):**

CCB has awarded a maximum of **26.4** CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance-Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC<sup>®</sup>), Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional-Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional-International (CCEP-I)<sup>®</sup>.



Health Care Compliance Association  
6500 Barrie Road, Suite 250  
Minneapolis, MN 55435-2358

# *24th Annual* Compliance Institute

March 29—April 1, 2020  
Gaylord Opryland • Nashville, TN



Compliance  
Law



Privacy &  
Security



Telehealth  
– NEW –



Investigations  
– NEW –



Risk  
Management

**Register now**

[hcca-info.org/2020CI](https://hcca-info.org/2020CI)